

# Optimizing therapy selection in ER[+] HER2[-] Advanced Breast Cancer

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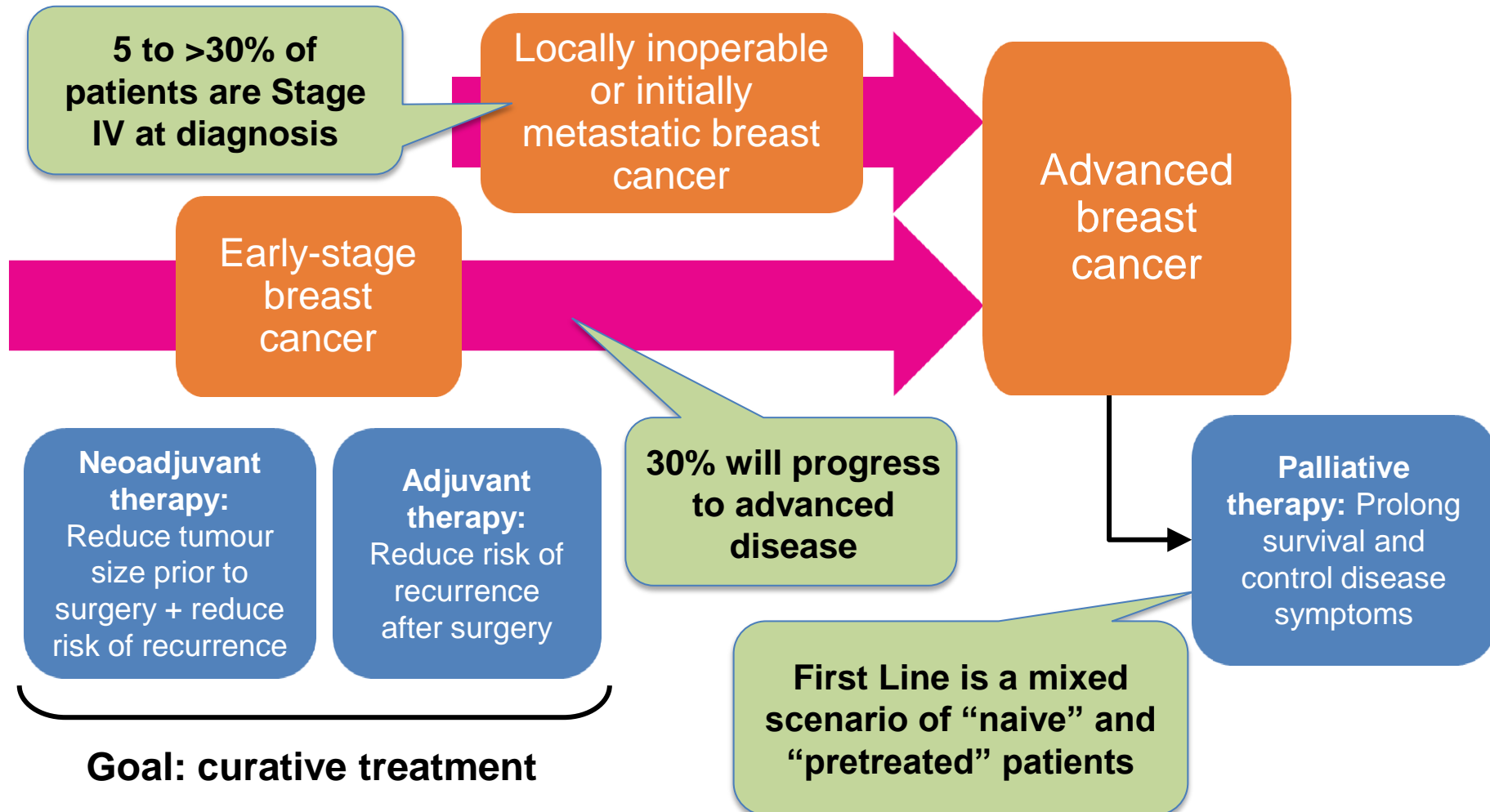
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# Evolution of Breast Cancer Treatment



Senkus E, et al. *Ann Oncol* 2015;26 (Suppl. 5):v8–v30;  
O'Shaughnessy J. *Oncologist* 2005;10 Suppl. 3:20–29;  
Cardoso F, et al. *The Breast* 2014;23:489–502.

# Goals in the Treatment of MBC

## ESMO/NCCN Guidelines

- Metastatic breast cancer is incurable yet treatable
- Treatment aims:
  - Maintain or improve quality of life
  - Delay disease progression
  - Control disease symptoms
- Treatment decision guided by tumour phenotype
- A large number of active agents – combinations, but standard management still debatable
- Appropriate management supports goal of improving survival

# In 2015 Molecular Phenotypes Drive Treatment Algorithms for ABC

**HER2+**

**Anti-HER2 agents extremely effective**

**Great OS  
Expectations linked to new agents**

**Grant access to new targeted agents**

**TNBC**

**Cytotoxic therapy as the only option**

**Limited benefits with chemotherapy and dismal prognosis**

**Promote translational research**

**ER/PR+ HER2-**

**Endocrine and cytotoxic therapies are effective**

**Wide range of options with (apparent) low impact on OS**

**Individualize strategies based on scenarios**

***Physician's concern***

# International Treatment Guidelines Emphasise Endocrine Therapy for HR+/HER2- ABC

## ASCO recommendations<sup>1</sup>

*Endocrine therapy, rather than chemotherapy, should be offered as the standard first-line treatment for patients with hormone receptor–positive advanced/metastatic breast cancer, except for immediately life threatening disease or if there is concern regarding endocrine resistance.*

- *The **main benefit is less toxicity and better quality of life for the patient associated with endocrine therapy compared with chemotherapy**.*

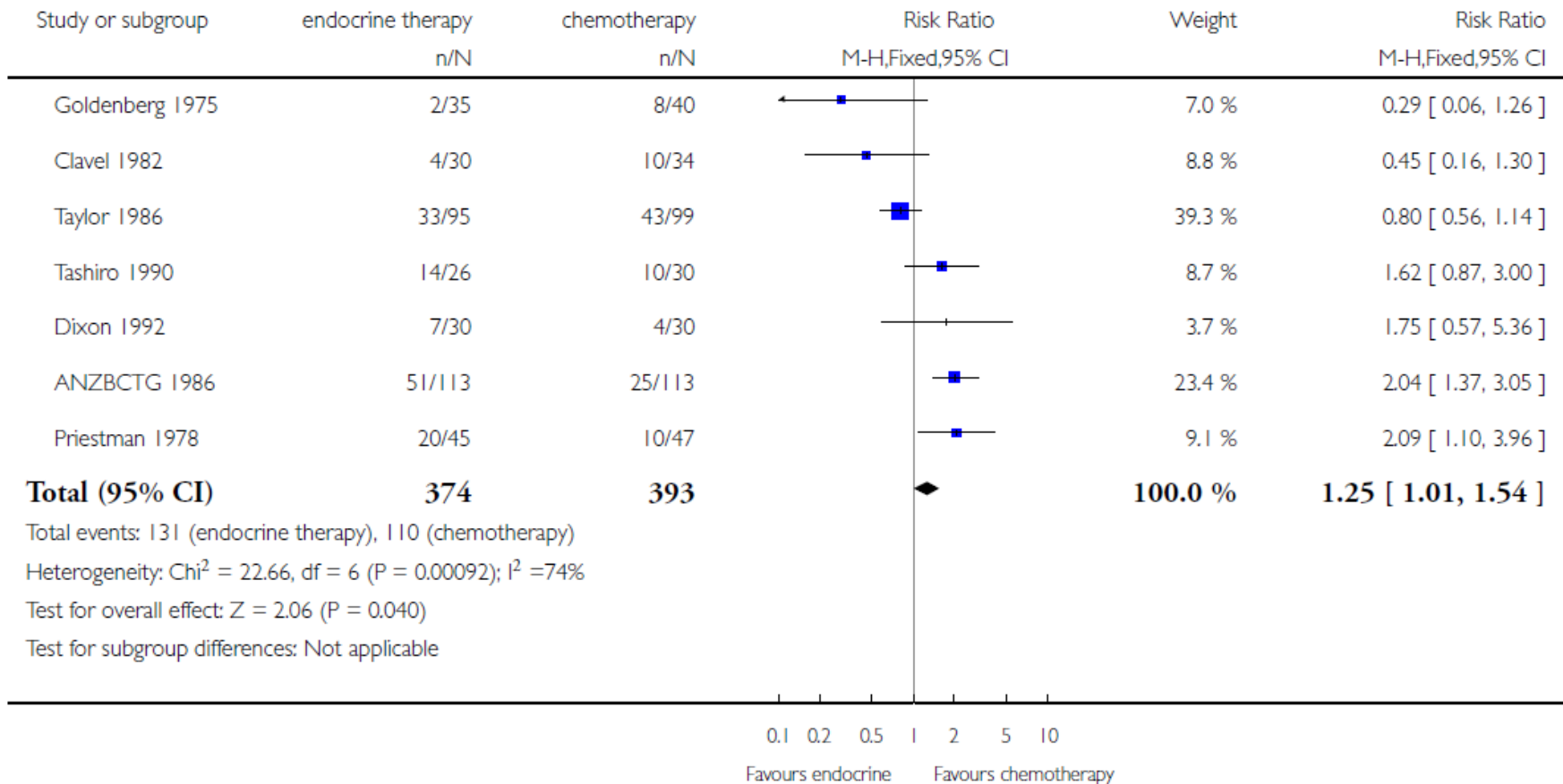
**No mention to the potential superiority of endocrine therapy in terms of activity**

*Women with recurrent or metastatic disease characterized by tumors that are ER- and/or PR-positive are appropriate candidates for initial endocrine therapy.*



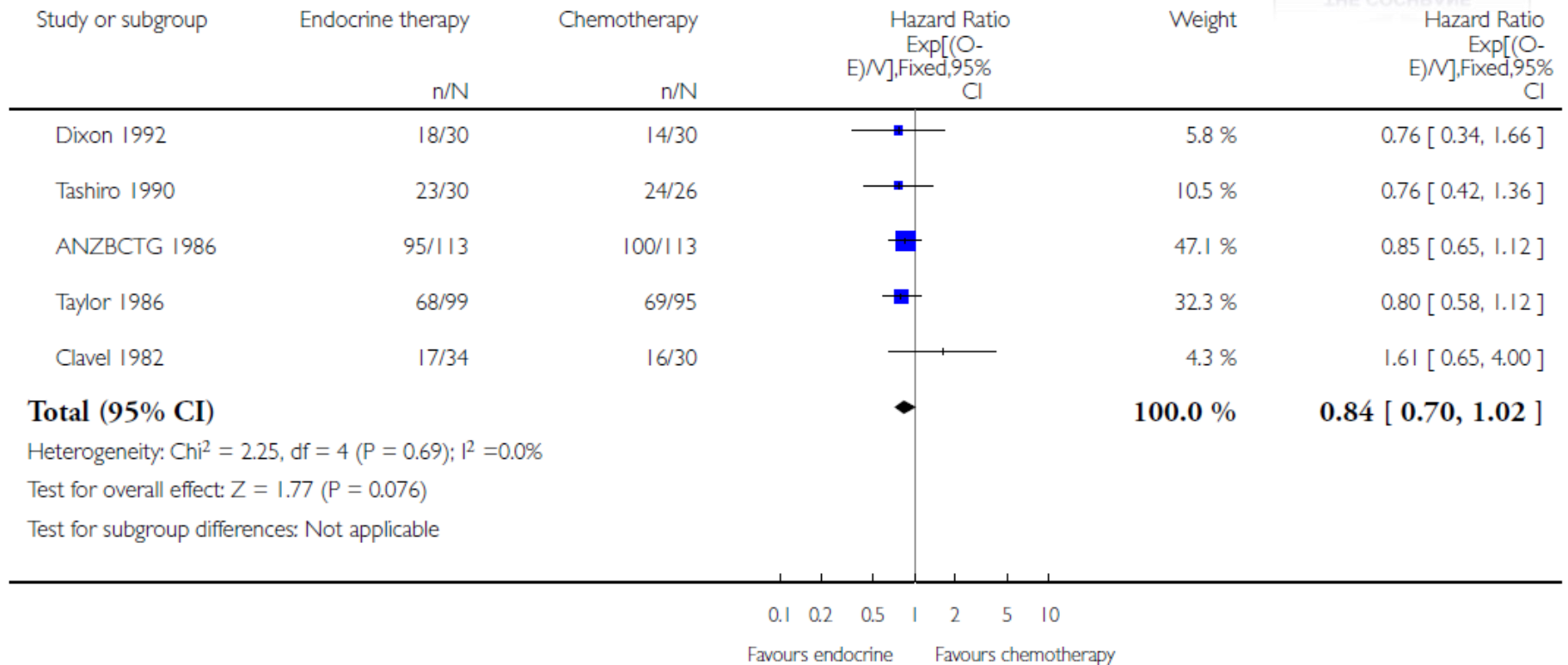
1. Partridge AH, et al. *J Clin Oncol* 2014;32:3307–3329;  
2. Cardoso F, et al. *The Breast* 2014;23:489–502; 3. NCCN Guidelines: Breast Cancer. Version 3.2015.

# Chemotherapy vs Endocrine Therapy Trials: Objective Response Rate



**Receptor status was mostly unknown in all studies**

# Chemotherapy vs Endocrine Therapy Trials: Overall Survival



***Receptor status was mostly unknown in all studies***

# Treatment of “Rapidly Progressive Disease”

## ABC Recommendations



### ER POSITIVE MBC<sup>1</sup>

- **Endocrine therapy (ET)** is the preferred option for hormone receptor positive disease, **even in the presence of visceral disease**, unless there is concern or proof of endocrine resistance or there is disease needing a fast response (LoE: 1 A).



### VISCERAL CRISIS<sup>2</sup>

- is defined as **severe organ dysfunction** as assessed by signs and symptoms, laboratory studies, **and rapid progression of disease**.

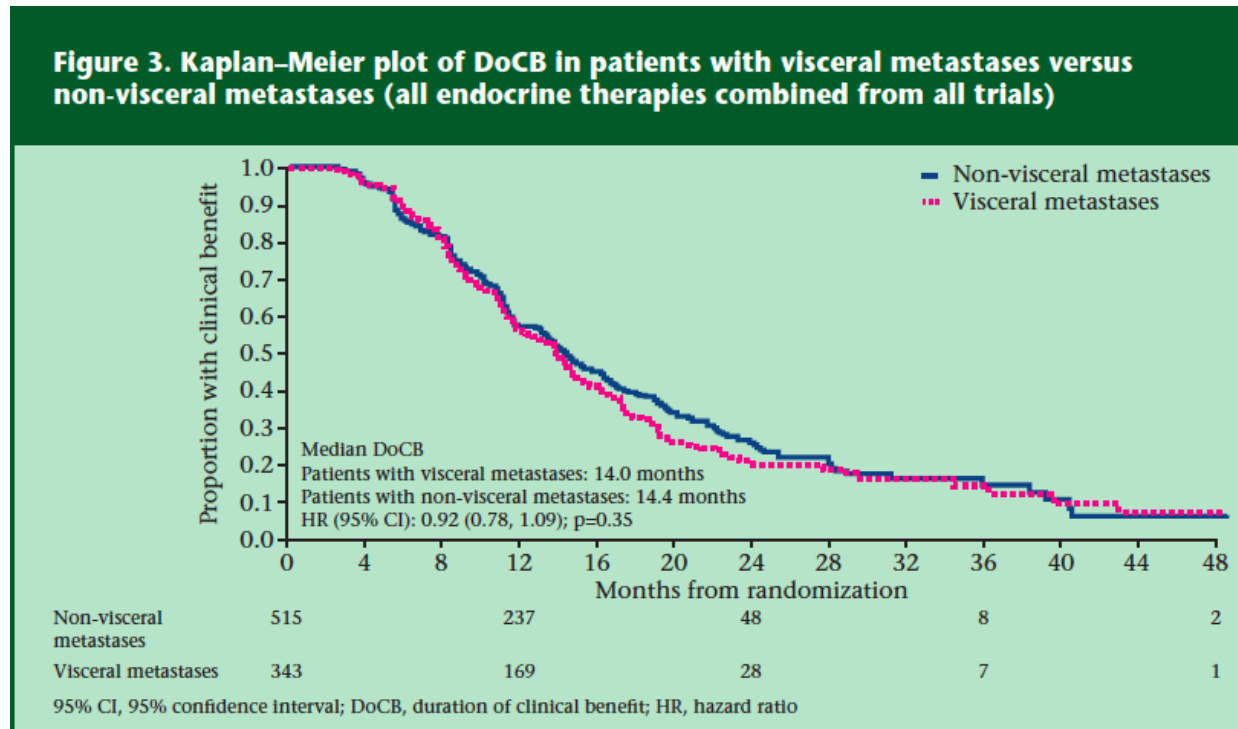
Visceral crisis is **not the mere presence of visceral metastases** but implies important visceral compromise leading to a clinical indication for a more rapidly efficacious therapy, particularly since another treatment option at progression will probably not be possible. (LoE: Expert opinion).

1. Cardoso F, et al. *The Breast* 2012;21:242–252;  
2. Cardoso F, et al. *The Breast* 2014;23:489–502.



# Visceral Metastases from HR+ MBC are not a Criteria for Endocrine Resistance

Combined analysis of four, Phase III, randomised controlled trials of 1<sup>st</sup>-line ET for ABC in postmenopausal women with available data on visceral vs. non-visceral metastases\*



***“ET for advanced/metastatic BC is as effective in responsive patients with visceral metastases as in those with non-visceral metastases.”***

DoCB, duration of clinical benefit

\*Similar results were observed from studies using tamoxifen only. Robertson JFR, *et al.* SABCS 2014 (Abstract P1-13-02).

# **First Line Therapy**

## **HR[+] Metastatic Breast Cancer**

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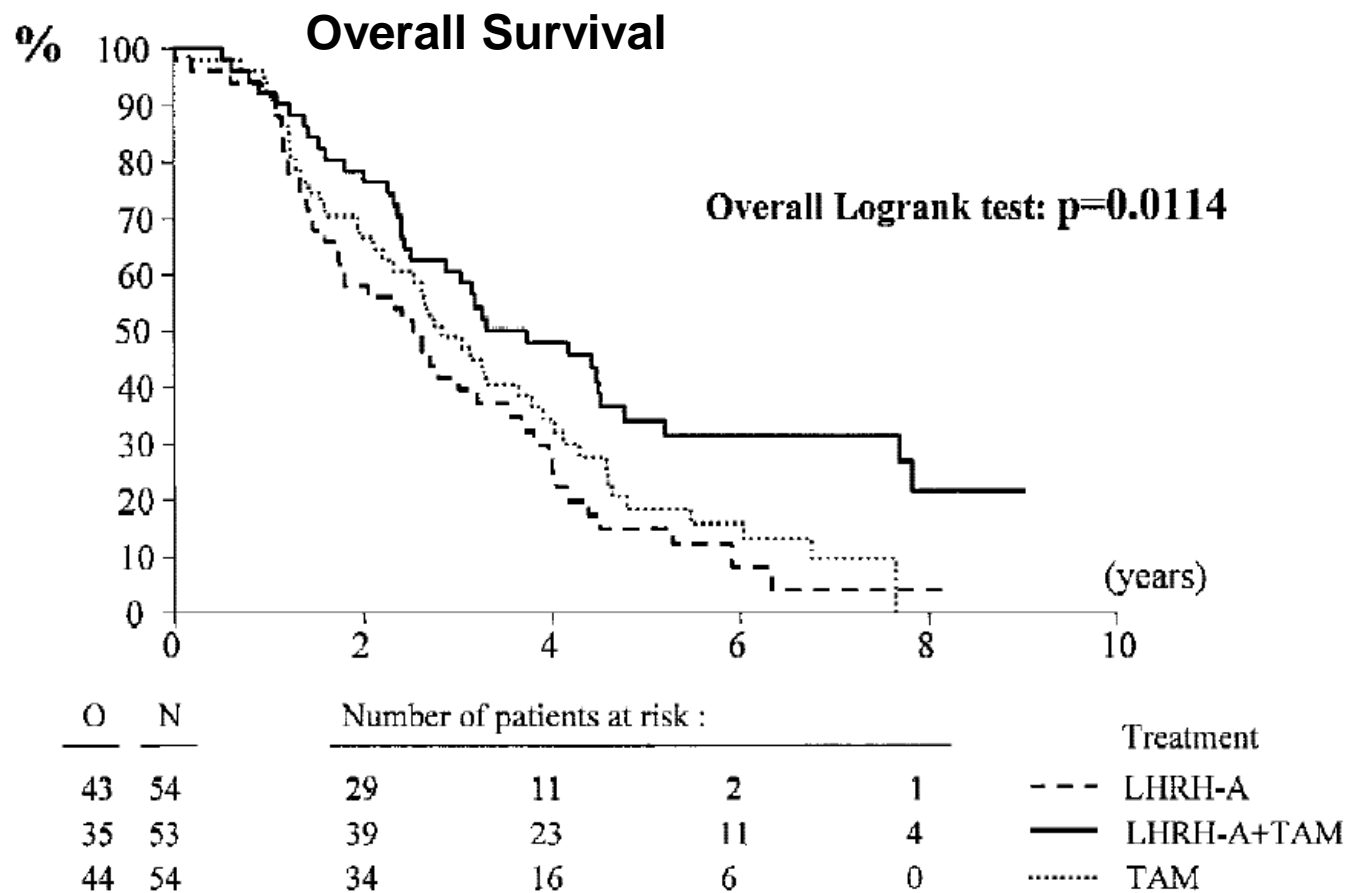
**Endocrine Sensitive**

**“De Novo” MBC**

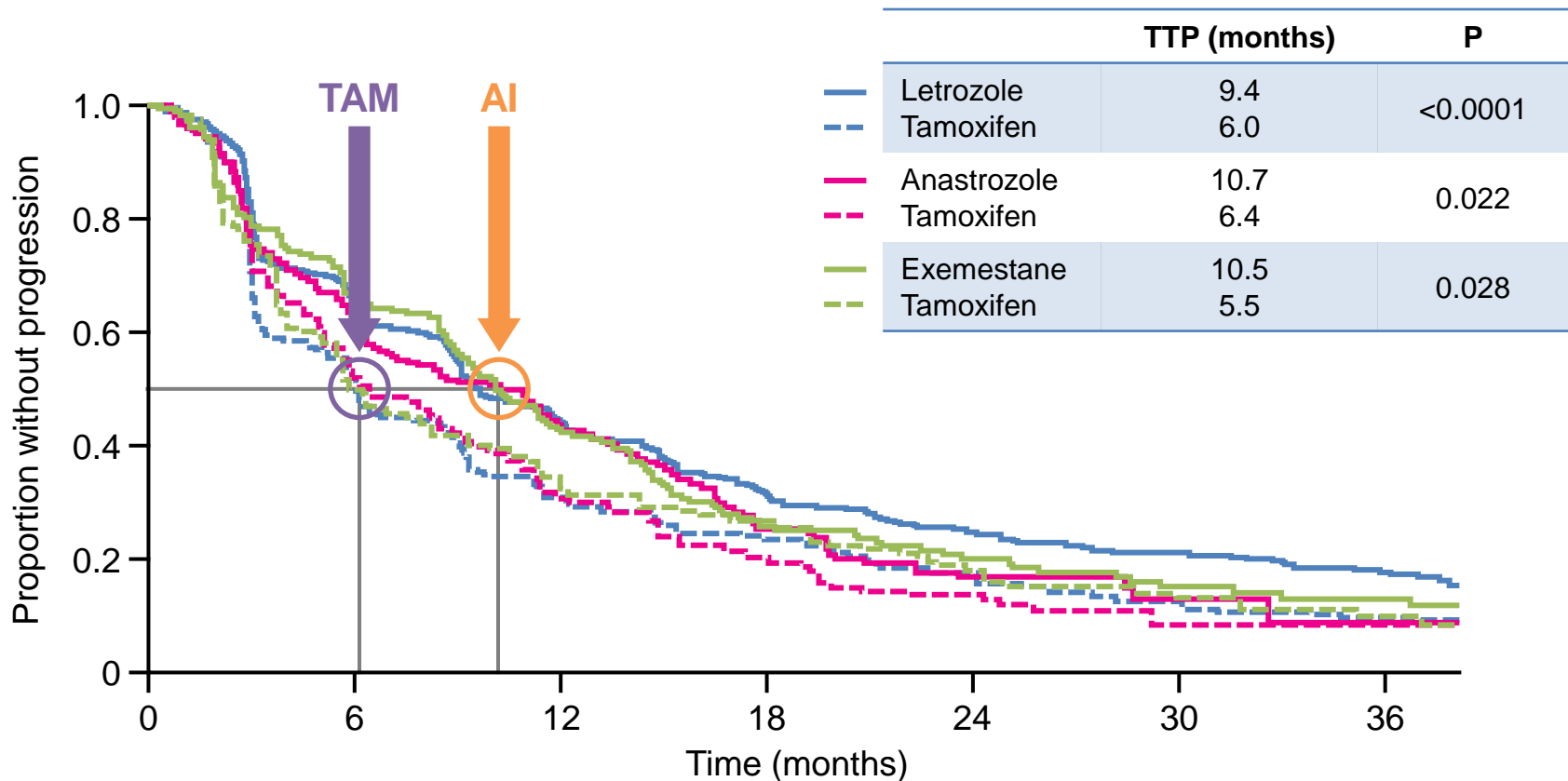
**Naïve MBC**

**MBC > 1 year from the end of ET for EBC**

# EORTC Premenopausal: Survival Benefit for Ovarian Suppression and TAM



# First-Line Therapy: Aromatase Inhibitors Showed Consistent Superiority over Tamoxifen (Postmenop)



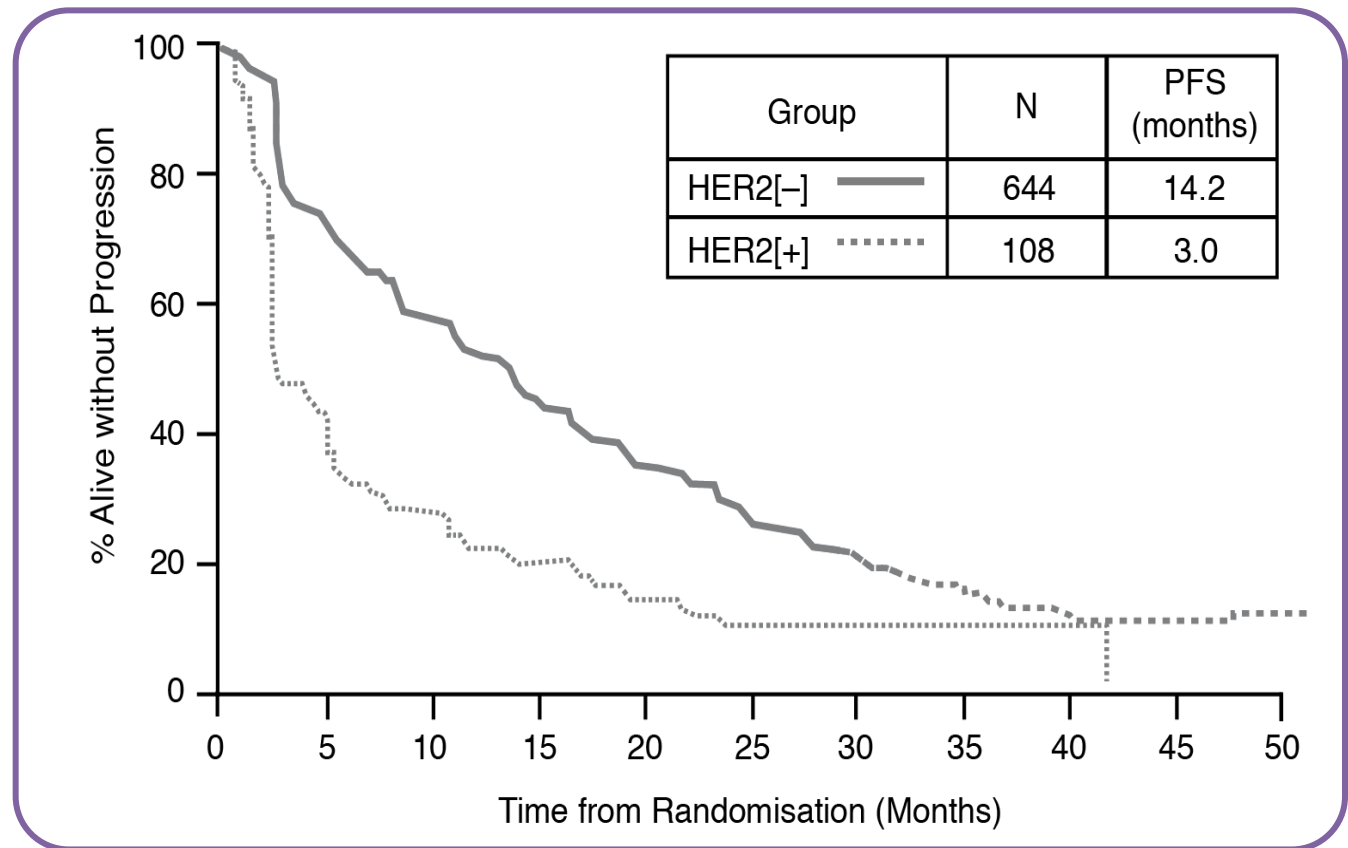
AI, aromatase inhibitor; TAM, tamoxifen.

Mouridsen, *et al. Oncologist* 2004;4:489–496;  
 Bonneterre, *et al. Cancer* 2001;92:2247–2258;  
 Paridaens, *et al. J Clin Oncol* 2008;26:4883.

# HER2 Overexpression is a Strong Predictor of Endocrine Resistance Among ER+ ABC Patients

## 30008 Study: Letrozole arm efficacy by HER2 status

- Comparison of control arms (LET) in both groups of treatment (by HER2 status)
- Median TTP for LET alone
  - 14.2 mos (HER2–)
  - 3.0 mos (HER2+)



HER2, human epidermal growth factor receptor 2;  
LET, letrozole; mos, months; TTP, time-to-progression.

Adapted from Johnston S, *et al. J Clin Oncol* 2009;27:5538–5546.

# Front-Line Aromatase Inhibitors

## Improving Efficacy Over the Last Decade

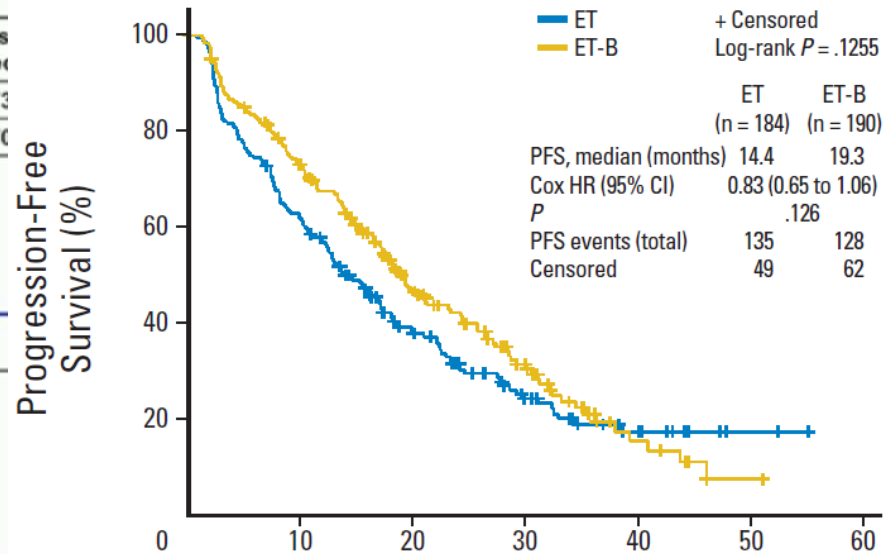
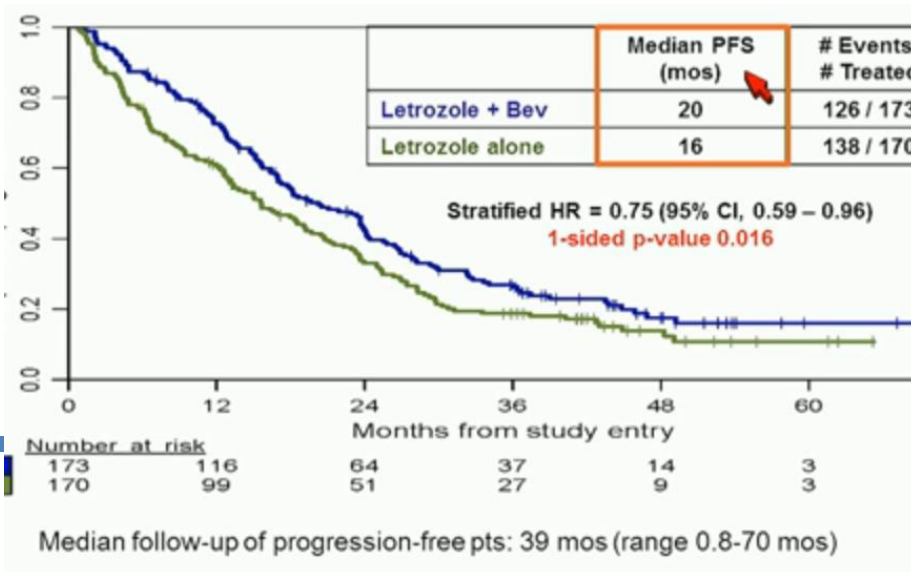
### Letrozole single agent arm from recent Phase III trials for first-line ER+ MBC

Letrozole single agent first-line trials	Year	Criteria	CBR (%)	TTP median (months)
Mouridsen	2004	ER+	50	9.4
Wolf	2012	ER+	–	9.0
Johnston	2009	ER+/HER2–	64	15.0
Martin	2015	ER+/HER2–	67	14.4
Dickler	2015	ER+/HER2–	62	16.0

CBR, clinical benefit rate

Mouridsen, 2003; Wolf 2012; Johnston *J Clin Oncol* 2009;27:5538–5546; Martin *J Clin Oncol* 2015; Dickler ASCO 2015 (Abstract 501).

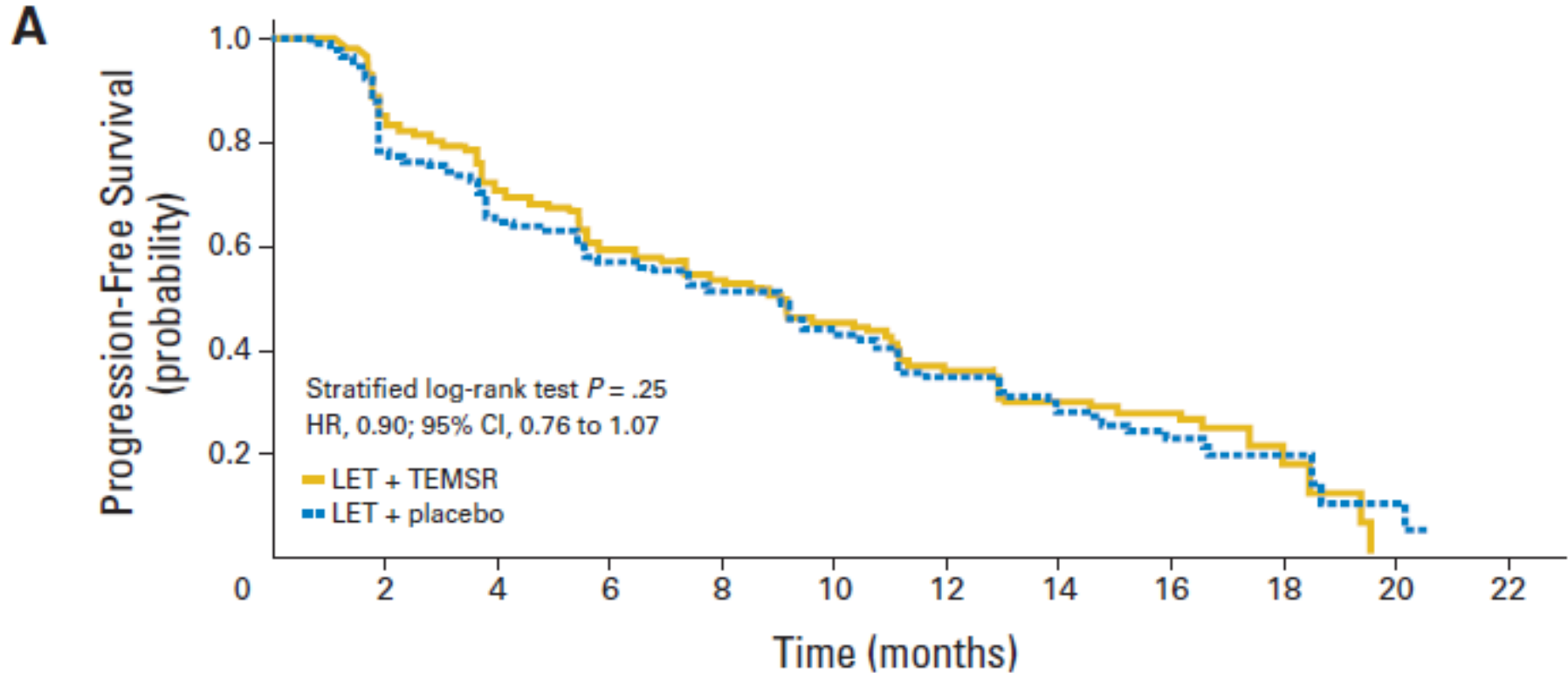
# New Approaches: LET + Bevacizumab CALGB-40503 and GEICAM-LEA



**Statistically significant ( $P = 0.016$ ),  
but clinically nonrelevant  
Predefined benefit: HR < 0.67  
Median TTP increase > 6 months**

**Nonsignificant ( $P = 0.126$ );  
8 toxicity deaths on bevacizumab**

# Combination treatment: mTOR Inhibitors: Temsirolimus

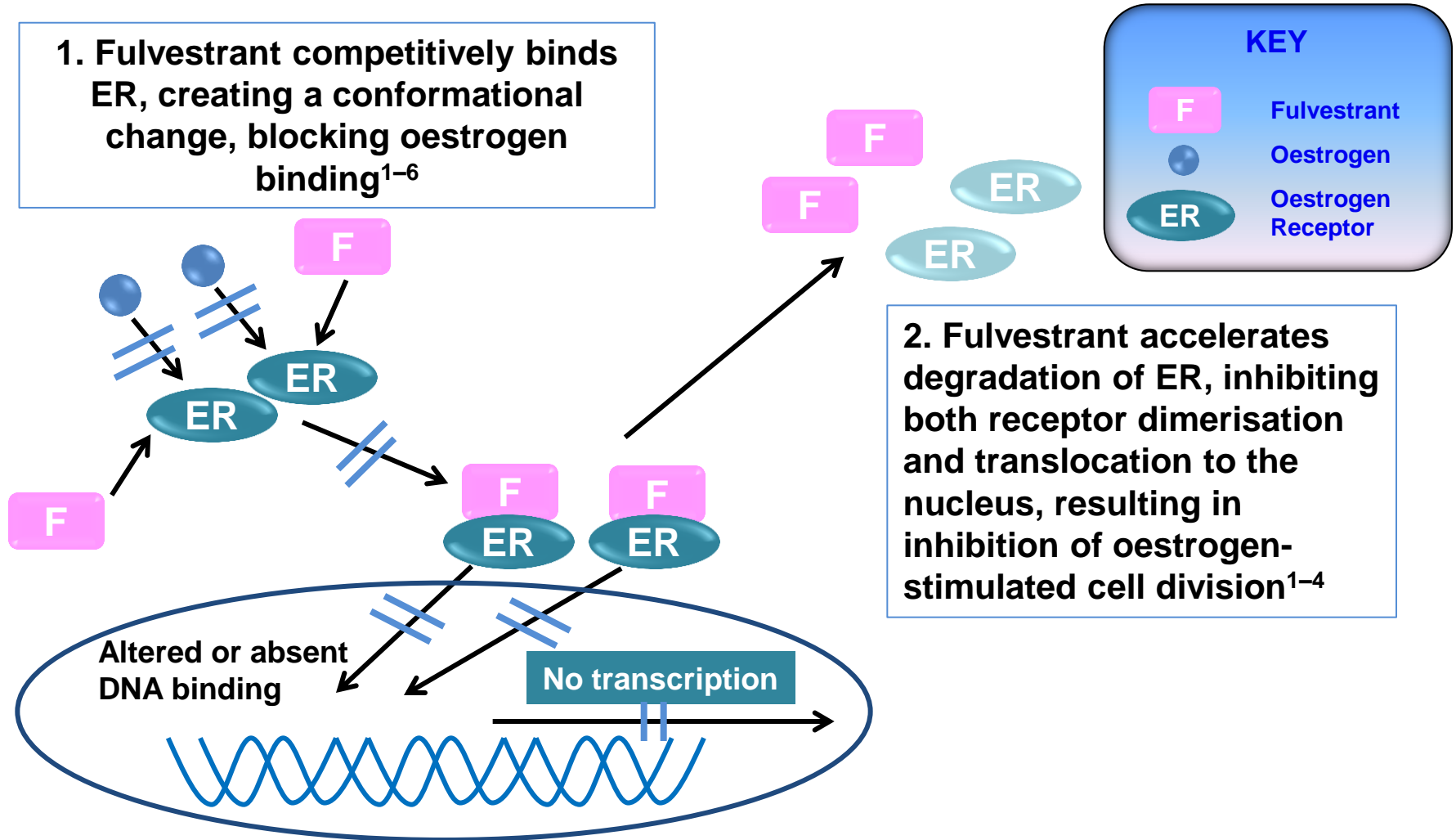


No. at risk/events

LET + TEMSR	553	387/79	278/63	193/43	149/19	102/21	61/19	40/10	22/2	5/4	0/3	0/0
LET + placebo	553	365/110	276/58	211/35	154/18	110/21	65/21	37/9	18/6	6/2	2/0	0/0



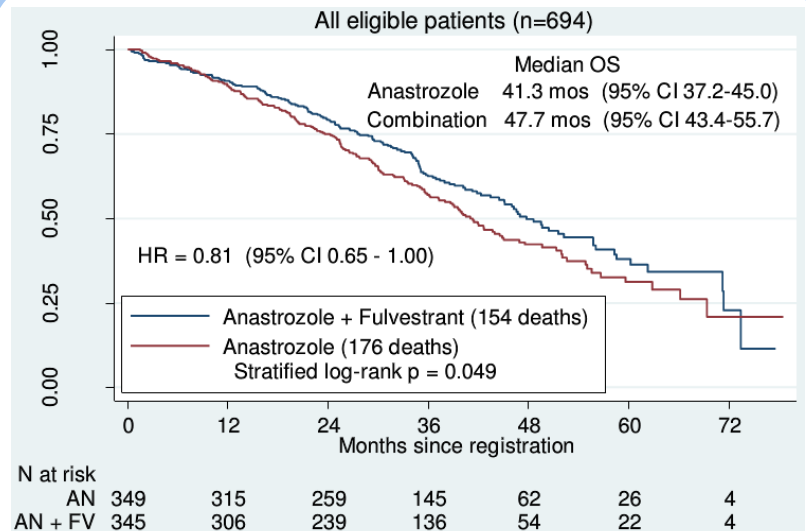
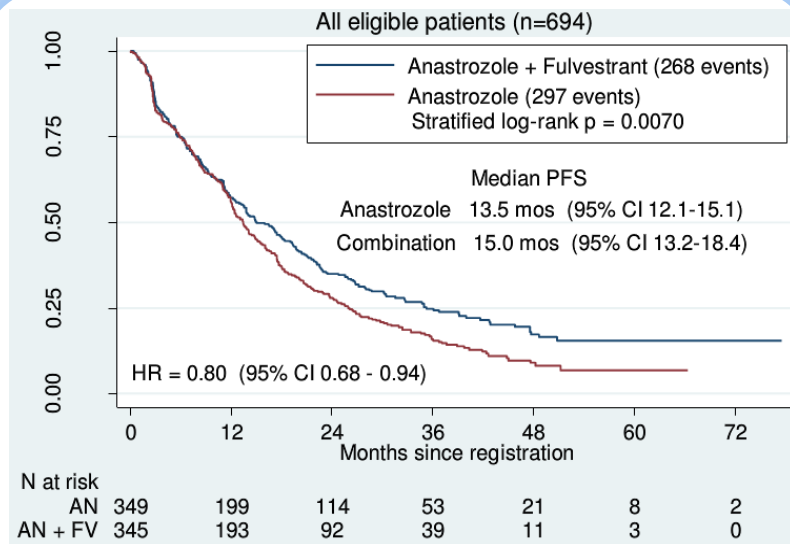
# Fulvestrant: The dual Mechanism of action may explain the delay (PFS gains) on resistance mechanisms



# Combination treatment: SWOG S0226

## Fulvestrant (250) and Anastrozole

- Phase III SWOG S0226 study
- Postmenopausal with inoperable IIIB or IV breast cancer ER/P+
- Measurable evaluable disease
- Primary objective: Progression-free survival (PFS)



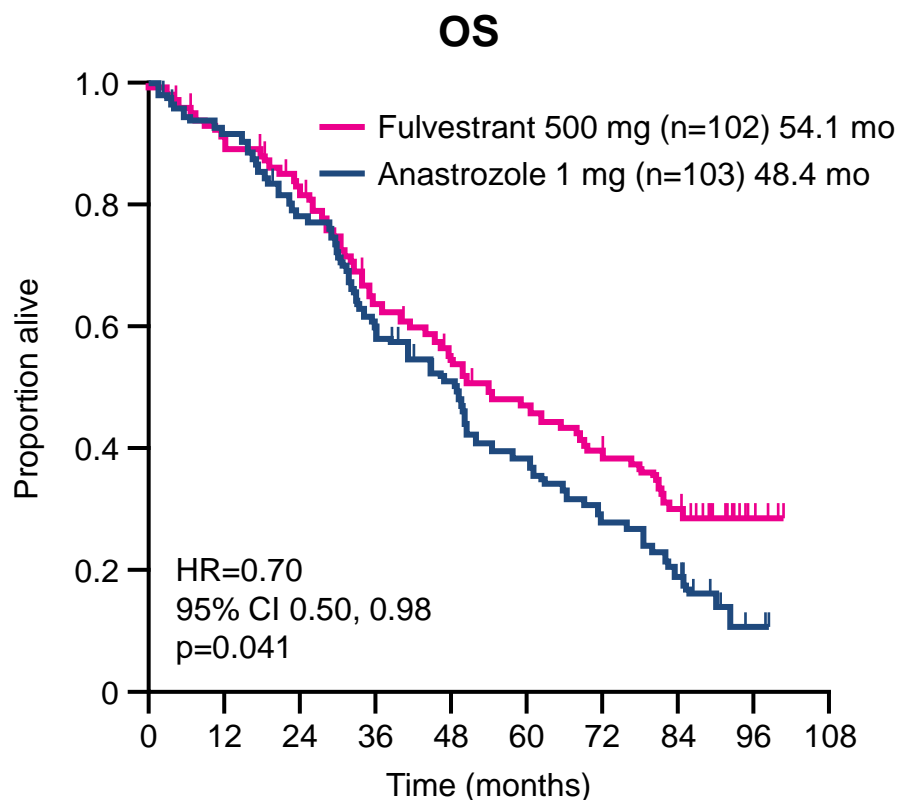
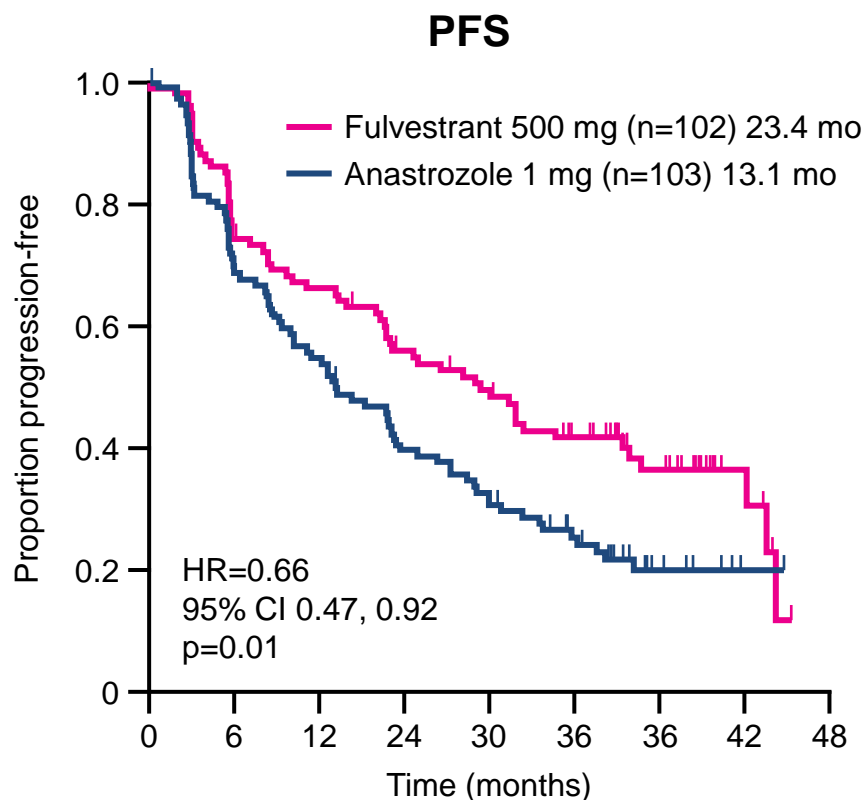
Fulvestrant is not approved in this setting. Please refer to the Summary of Product of Characteristics (SmPC) for all licensed indications. The SmPC is available from your local representative.

Mehta RS, et al. *N Engl J Med.* 2012;367:435-444.

# New Approaches: Phase II - FIRST Study

## Fulvestrant HD vs. Anastrozole

Postmenopausal patients with Stage IIIB or IV, ER/PR+ HER2-  
Primary Objective: CB (no differences)



Fulvestrant is not approved in Korea

# First Line Fulvestrant High Dose Phase III Registration Study

Postmenopausal women with ER+ and/or PgR+ locally advanced or metastatic breast cancer not previously treated with any hormonal therapy

Randomisation 1:1

*Final analysis precluded for ESMO-2016*

+ placebo to anastrozole (1 mg/day p.o.)

days 0, 14 & 28 then every 28 days

Progression

Survival

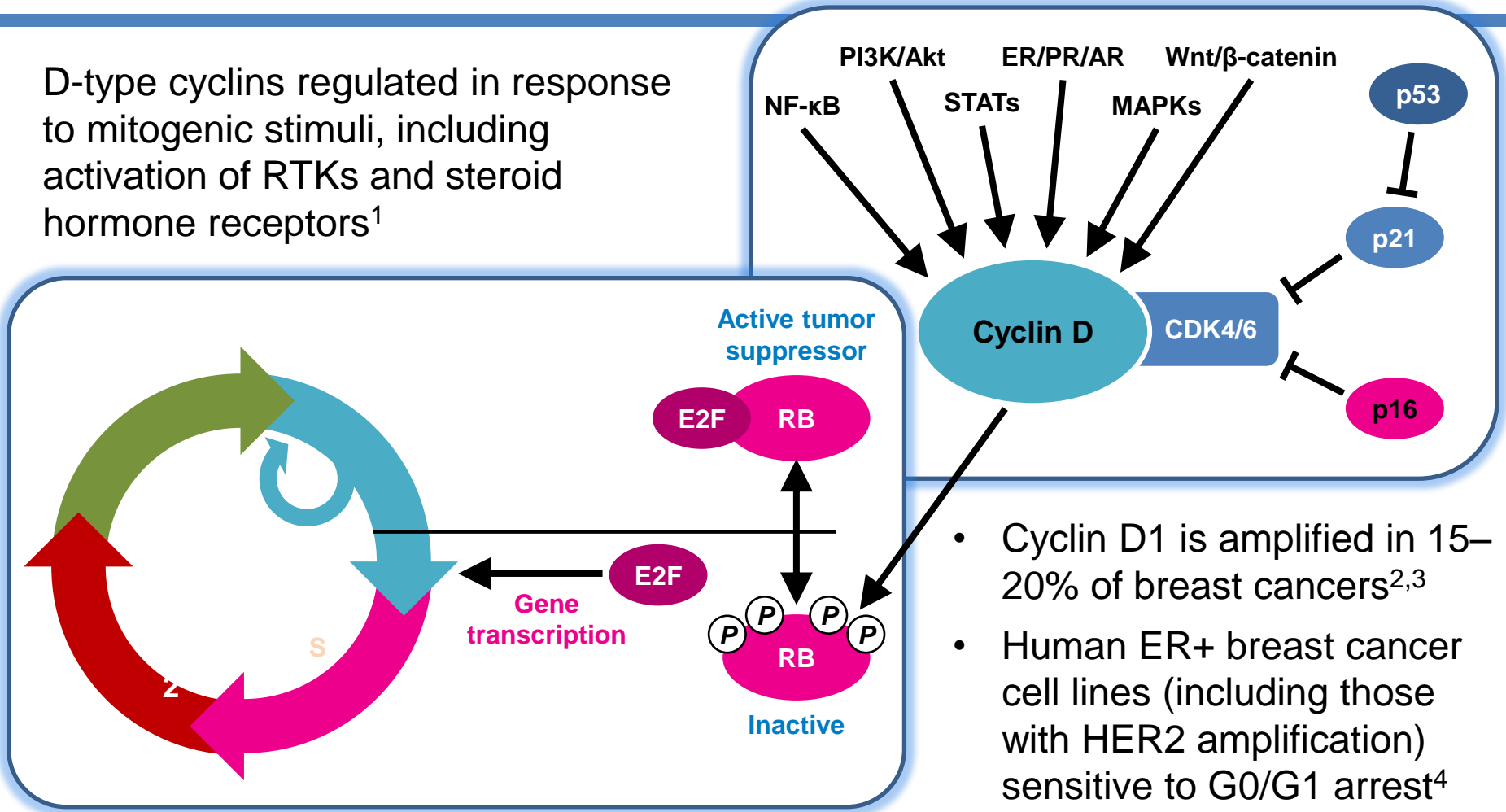
PFS analysis at 306  
progression events  
OS analysis at 50%

Progression

Survival

# Cyclin D – Retinoblastoma cascade regulates the G1/S Checkpoint in Breast Cancer

D-type cyclins regulated in response to mitogenic stimuli, including activation of RTKs and steroid hormone receptors<sup>1</sup>



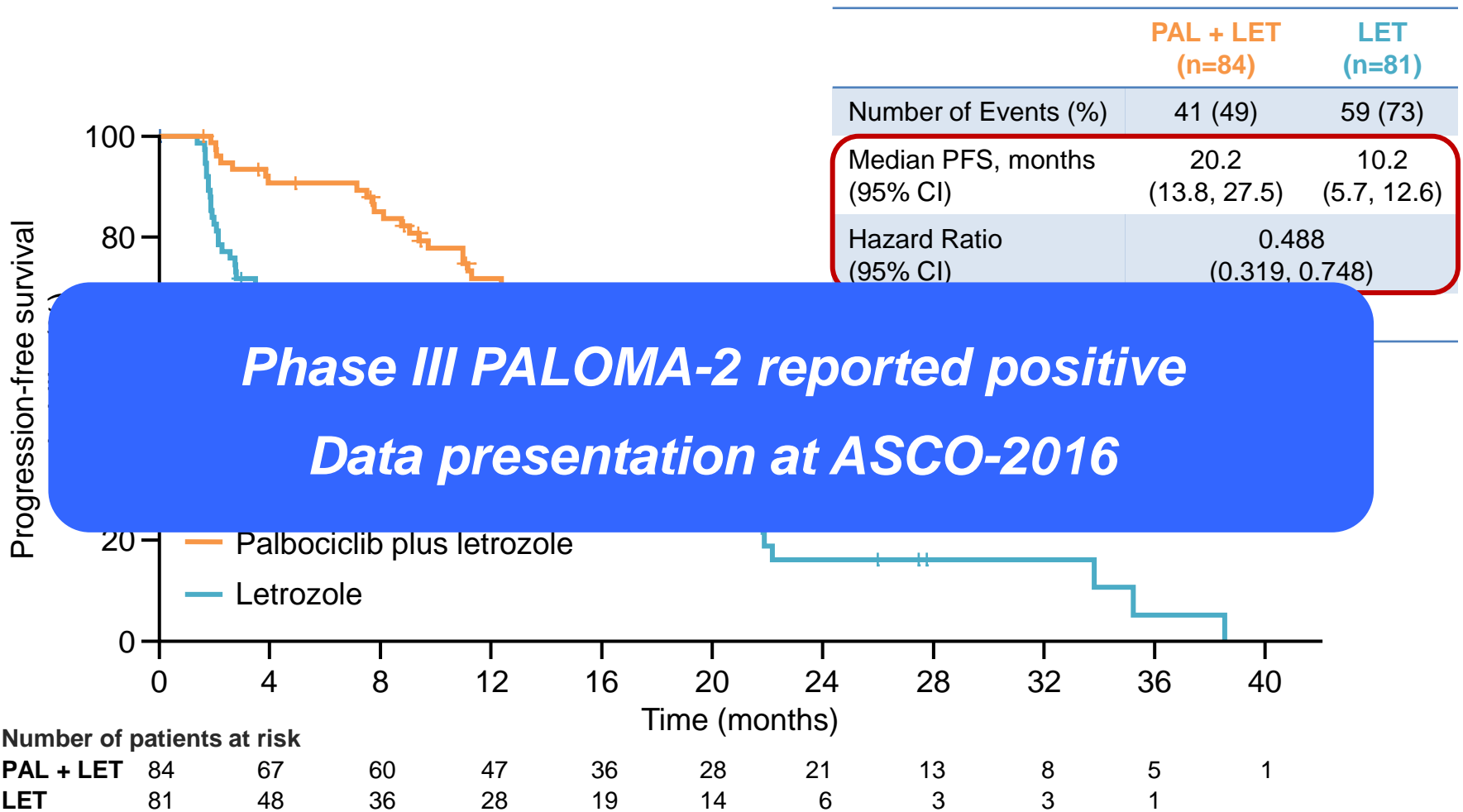
- Cyclin D1 is amplified in 15–20% of breast cancers<sup>2,3</sup>
- Human ER+ breast cancer cell lines (including those with HER2 amplification) sensitive to G0/G1 arrest<sup>4</sup>

# CDK4/6 inhibitors currently in Phase III First Line

Agent	Company	Development Status	Trials Brand Name
<b>Palbociclib</b> (PD0332991)	Pfizer	Phase III	<b>PALOMA-2</b>
<b>Ribociclib</b> (LEE011)	Novartis	Phase III	<b>MONALEESA-2</b>
<b>Abemaciclib</b> (LY28335219)	Lilly	Phase III	<b>MONARCH-3</b>

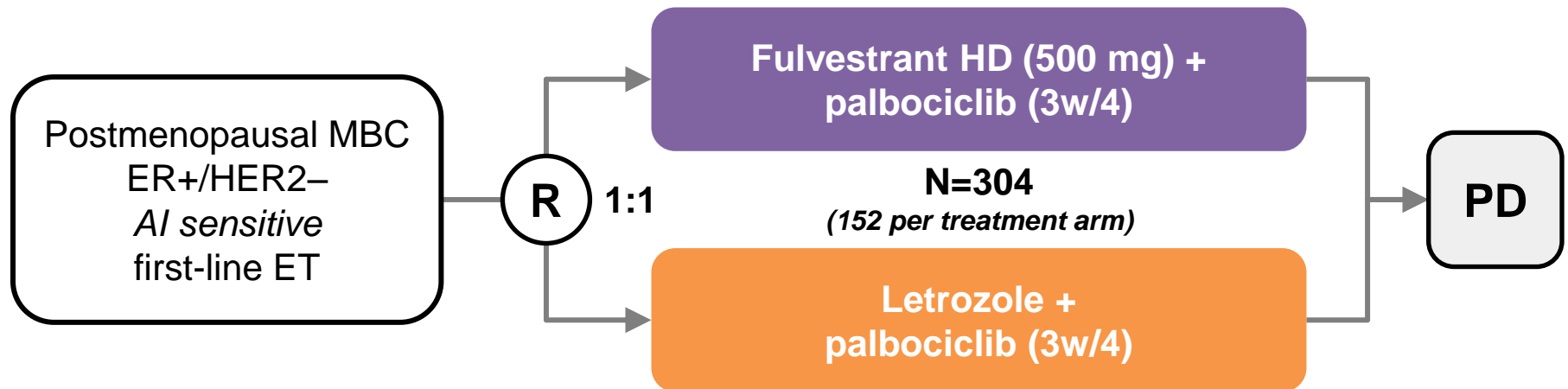
# New approaches: Phase II – PALOMA-1

## Palbociclib Front-Line: PFS (ITT Population)



**Phase III PALOMA-2 reported positive  
Data presentation at ASCO-2016**

# Palbociclib and Fulvestrant Front-Line: PARSIFAL Design



## Stratification factors:

- Visceral disease
- Adjuvant AI

## Primary Objective:

- 1-year PFS Rates
- Odds Ratio: 70% vs. 85%



# Treatment of Aromatase Inhibitor-Resistant Disease

Aromatase inhibitors are first-line endocrine therapy for postmenopausal patients

Approximately 50% of ER+ patients do NOT respond to initial treatment

Even those who do respond to initial treatment will eventually progress

“Optimal post-aromatase inhibitor treatment is uncertain”



ER+, estrogen receptor positive.

Normanno N, et al. *Endocr Rel Cancer*. 2005;12:721-747; NCCN Guidelines. Breast Cancer. Version 2012. NICE. CG81  
Advanced breast cancer: Diagnosis and treatment. 2009. <http://publications.nice.org.uk/advanced-breast-cancer-cg81>; Cardoso F, et al. *Ann Oncol*. 2010;21(suppl 5): v15-v19; Cardoso F, et al. *Breast*. 2012;24:242-252.

# **Second Line Therapy – Progression to AI HR[+] Metastatic Breast Cancer**

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**Endocrine Resistant**

**“De Novo” MBC**

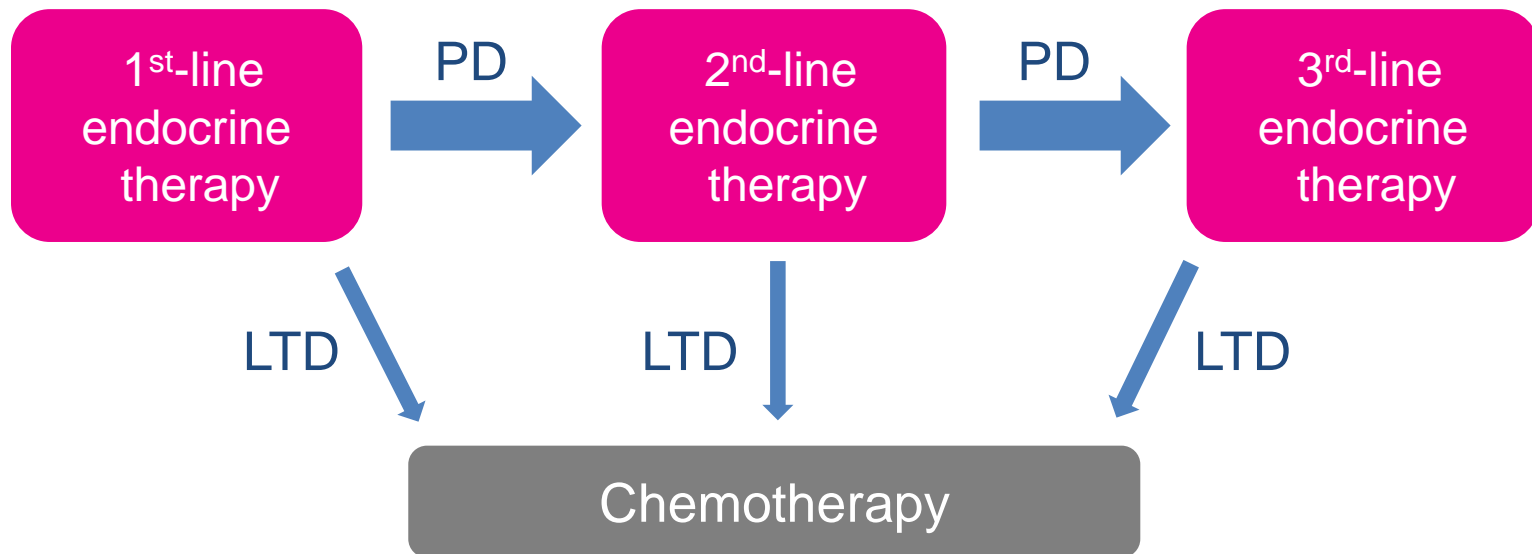
**Naïve MBC**

**MBC > 1 year from the end of ET for EBC**

# When to Switch from Endocrine to Chemotherapy

***“Chemotherapy should be reserved for cases of rapidly progressive disease or proven endocrine-resistance.”*** – ESMO/ABC2 guidelines<sup>1</sup>

***“Endocrine therapy, rather than **chemotherapy**... except for **immediately life threatening disease** or if there is concern regarding endocrine resistance.”***  
– ASCO guidelines<sup>2</sup>

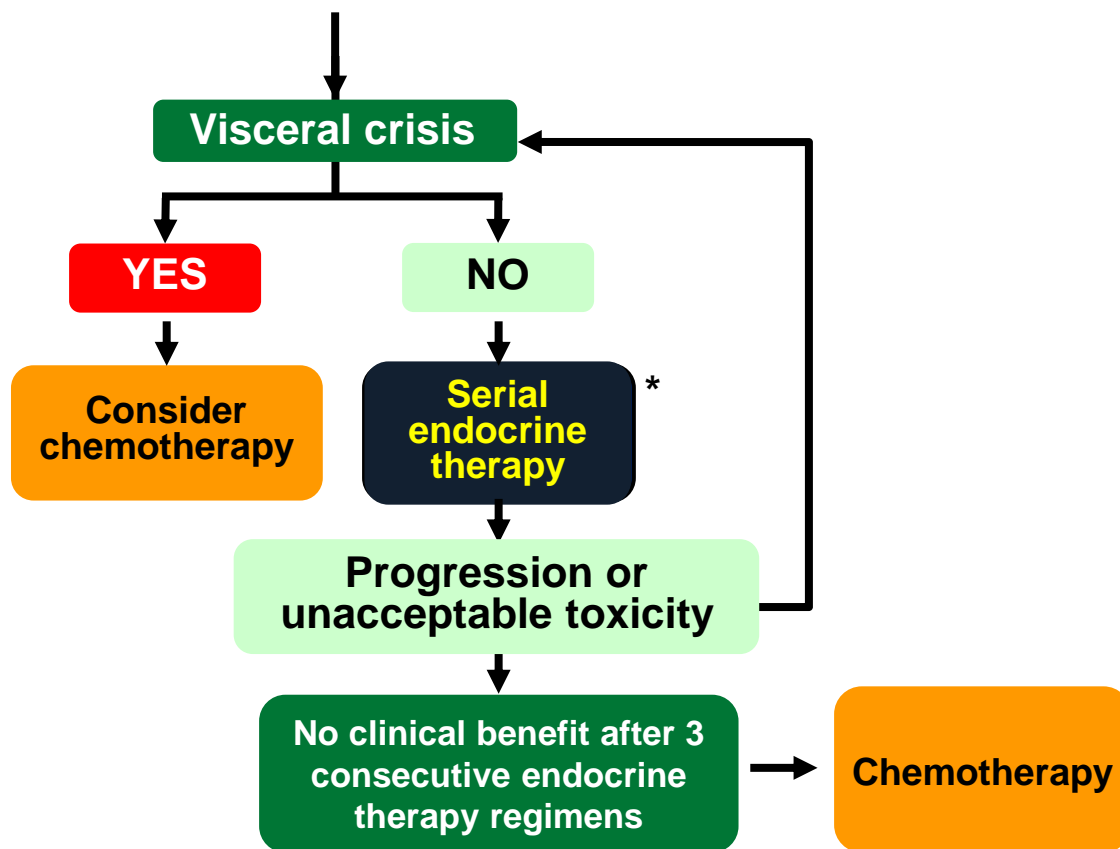


LTD, life threatening disease;  
PD, progressive disease.

1. Cardoso F, et al. *The Breast* 2014;23:489–502;  
2. Partridge AH, et al. *J Clin Oncol* 2014;32:3307–3329.

# NCCN Guidelines Recommend Serial Endocrine Therapy for HR+, HER2- ABC, Not in Visceral Crisis

## Advanced HR+/HER2- Breast Cancer



HER2, human epidermal growth factor receptor 2.

\*Consider the addition of everolimus to exemestane in women who fulfill the entry criteria for BOLERO-2.

National Comprehensive Cancer Network. *NCCN Clinical Practice Guidelines in Oncology: Breast Cancer*. V.3.2014.

# On progression to Front-Line Therapy How is Endocrine Resistance Defined?



**Primary Endocrine Resistance** is defined as:<sup>1</sup>

- Relapse while on the first 2 years of adjuvant ET, or
- PD within first 6 mos of initiating 1<sup>st</sup>-line ET for MBC, while on ET

**Secondary (Acquired) Endocrine Resistance** is defined as:

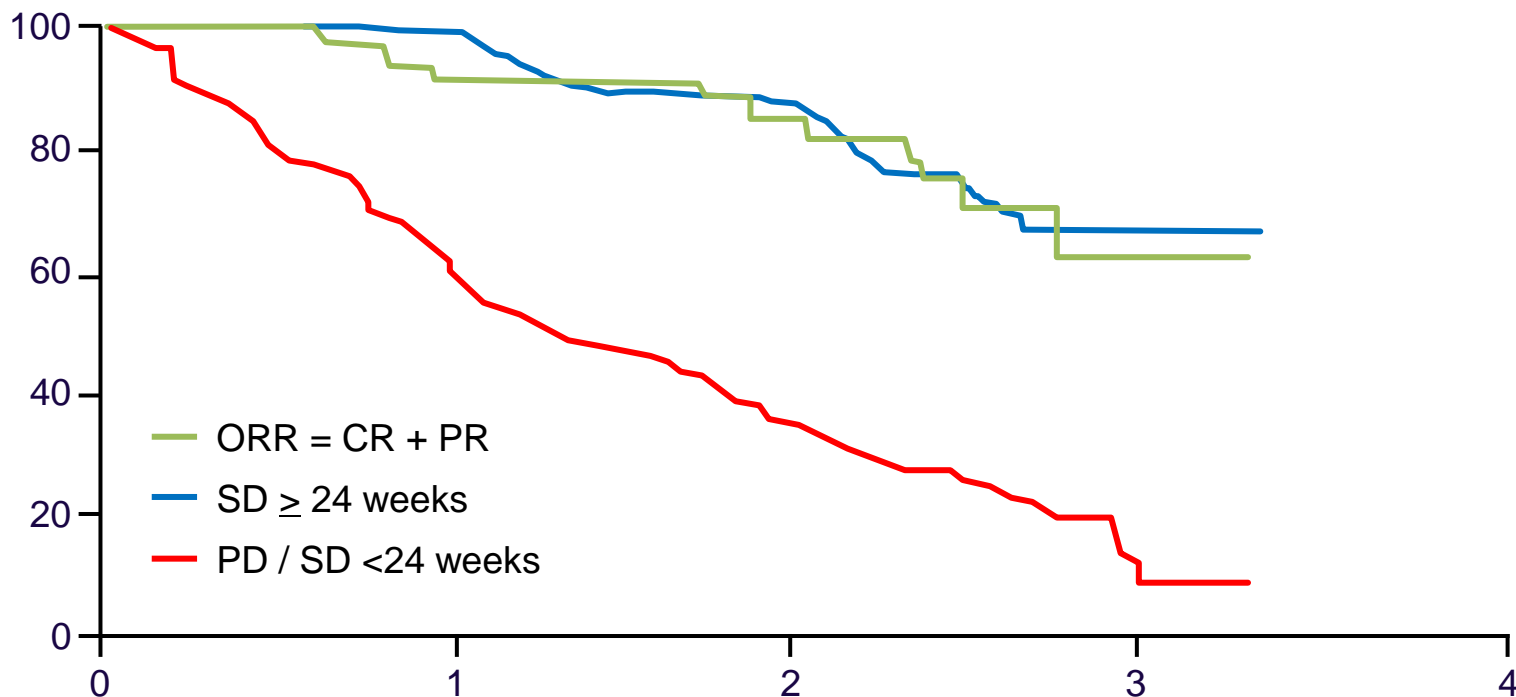
- Relapse while on adjuvant ET but after the first 2 years, or
- Relapse within 12 months of completing adjuvant ET, or
- PD  $\geq$ 6 months after initiating ET for MBC, while on ET

***ET resistance is a “progressive, step-wise process, and the underlying mechanism remains unclear.”<sup>2</sup>***

1. Cardoso F, et al. *The Breast* 2014;23:489–502;  
2. Fan W, et al. *Future Med Chem* 2015;12:1511–1519.

# Clinical Benefit Criteria: **Prognostic Marker** for Endocrine Treatment

Overall survival by response to ET



	ORR (PR + CR)		SD > 24 weeks		Disease Progression	
	N	2 years OS	N	2 years OS	N	2 years OS
Anastrozole	33	85%	78	86%	42	51%
Megestrol Ac.	31	70%	71	72%	47	48%

# Clinical Benefit Criteria: Prognostic and Predictive Skill for Endocrine Treatment

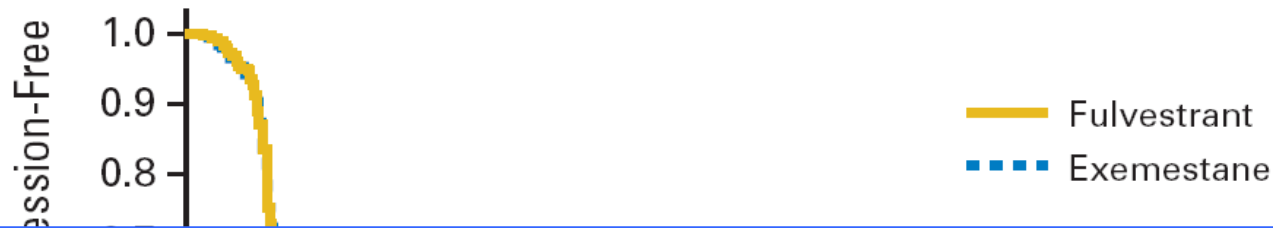
- Clinical Benefit to the previous line of endocrine therapy seems the best predictor for new benefits on subsequent endocrine lines

Clinical Benefit on Prior Line	2 <sup>nd</sup> Line		3 <sup>rd</sup> Line		4 <sup>th</sup> Line	
	N	CB (%)	N	CB (%)	N	CB (%)
YES	68	69%	23	43%	5	20%
NO	17	29%	9	22%	4	0

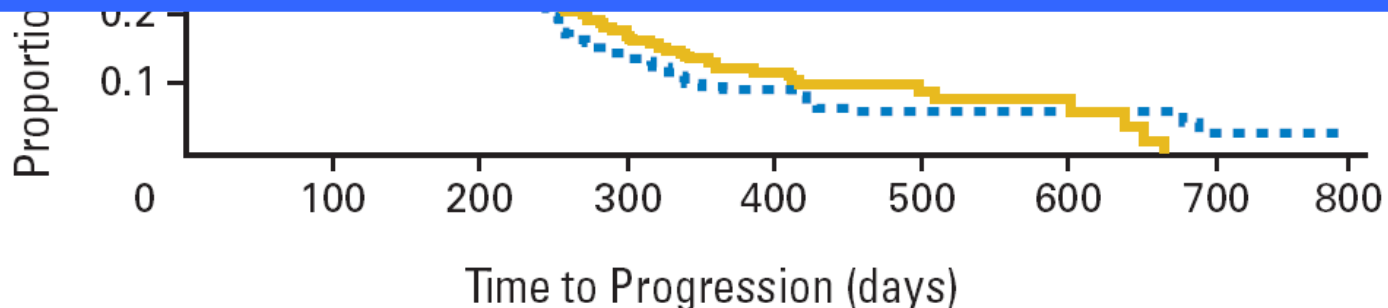
- The absence of Clinical Benefit does not formally contraindicate new endocrine therapies, but closer follow-up seems reasonable

# NSAI Resistant: EFECT Study

## Fulvestrant vs. Exemestane: Low Median TTP



**Misinterpretation:**  
If median TTP is 3.7 months whatever the Endocrine option → Chemotherapy may be more effective



- Fulvestrant: 250 mg – no lowering dose
- 60% >2 prior endocrine lines



# Historic Second Line Endocrine Therapy Phase III Results

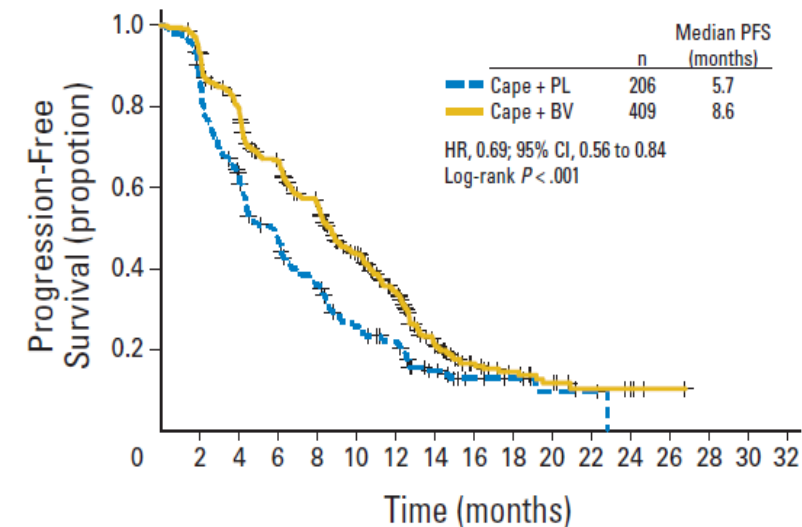
Postmenopausal Patients Progressing on tamoxifen, letrozole or anastrozole

	LET	EXE	FULV 250
<i>Control</i>	MEG. AC	MEG. AC	EXE
HR PFS	1.04	0.82	0.96
<i>p</i>	NS	0.037	NS
<i>Median PFS</i>	3,8	4,8	3,7

LET, letrozole; EXE, exemestane; FULV 250, fulvestrant 250 mg; MEG AC, megestrol acetate

# Efficacy of First-Line Chemotherapy in ER+/HER2-: Capecitabine (RIBBON I study)

		Capecitabine + PBO (n=206)
Median age		57.0 (23–88)
Sites of dis, %	Visceral	71.4
HR status, %	Positive	73.7



No. at risk	0	2	4	6	8	10	12	14	16	18	20	22	24	26	28	30	32
Cape + PL	206	170	121	87	65	44	35	17	8	6	3	3	0	0	0	0	0
Cape + BV	409	364	306	248	202	145	102	49	26	18	12	6	3	1	0	0	0

	Capecitabine + PBO (n=206)	Capecitabine + beva (n=409)
ORR, %	23.6	35.4
p value	0.0097	
CR, %	0.6	2.2
PR, %	23	33.2
CBR, %	Not assessed	Not assessed

Baseline risk factor	n	Capecitabine + PBO, median
Hormone receptor status		
Positive	458	6.2
Negative	143	4.2

# How Are Physicians Treating ER+/HER2-?

Analysis	N° ER+/HER2-	First-Line Treatment for ABC		Number of ET Lines Before First CT		
		CT	ET	1 Line	2 Lines	≥3 Lines
US <sup>1</sup>	19,120	40%	60%	44%	12%	4%
Europe <sup>2</sup>	355	31%	69%	62%	7%	0%

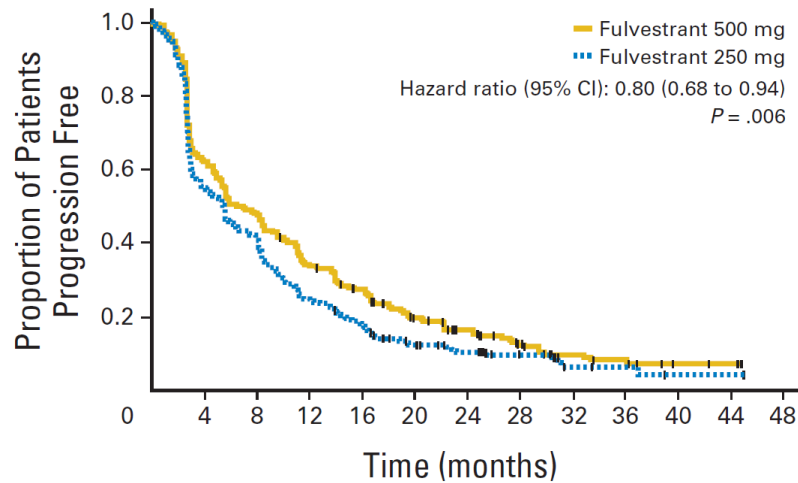
**Front-line endocrine therapy is chosen for 60%–70% of ER+ ABC patients**

- Endocrine therapy is chosen for 60%–70% of ER+ ABC patients, possibly suboptimal among US/European patients with ER+/HER2- ABC

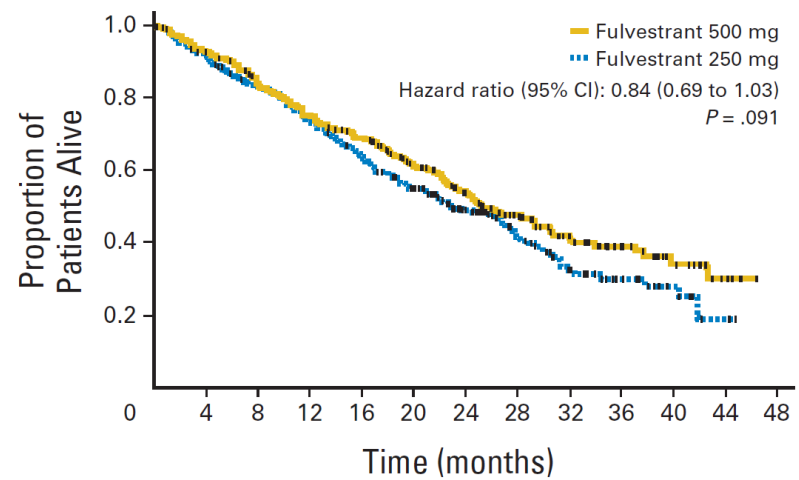
- Fewer than 1 out of 4 (25%) treated with front-line ET continue on a second endocrine option. Chemotherapy is the preferred option on progression to a first-line endocrine treatment

# Second Line (AI Resistant): CONFIRM

## Fulvestrant HD (500) vs LD (250) – TTP (ITT)



No. of patients at risk	0	4	8	12	16	20	24	28	32	36	40	44	48
Fulvestrant 500 mg	362	216	163	113	90	54	37	19	12	7	3	2	0
Fulvestrant 250 mg	374	199	144	85	60	35	25	12	4	3	1	1	0

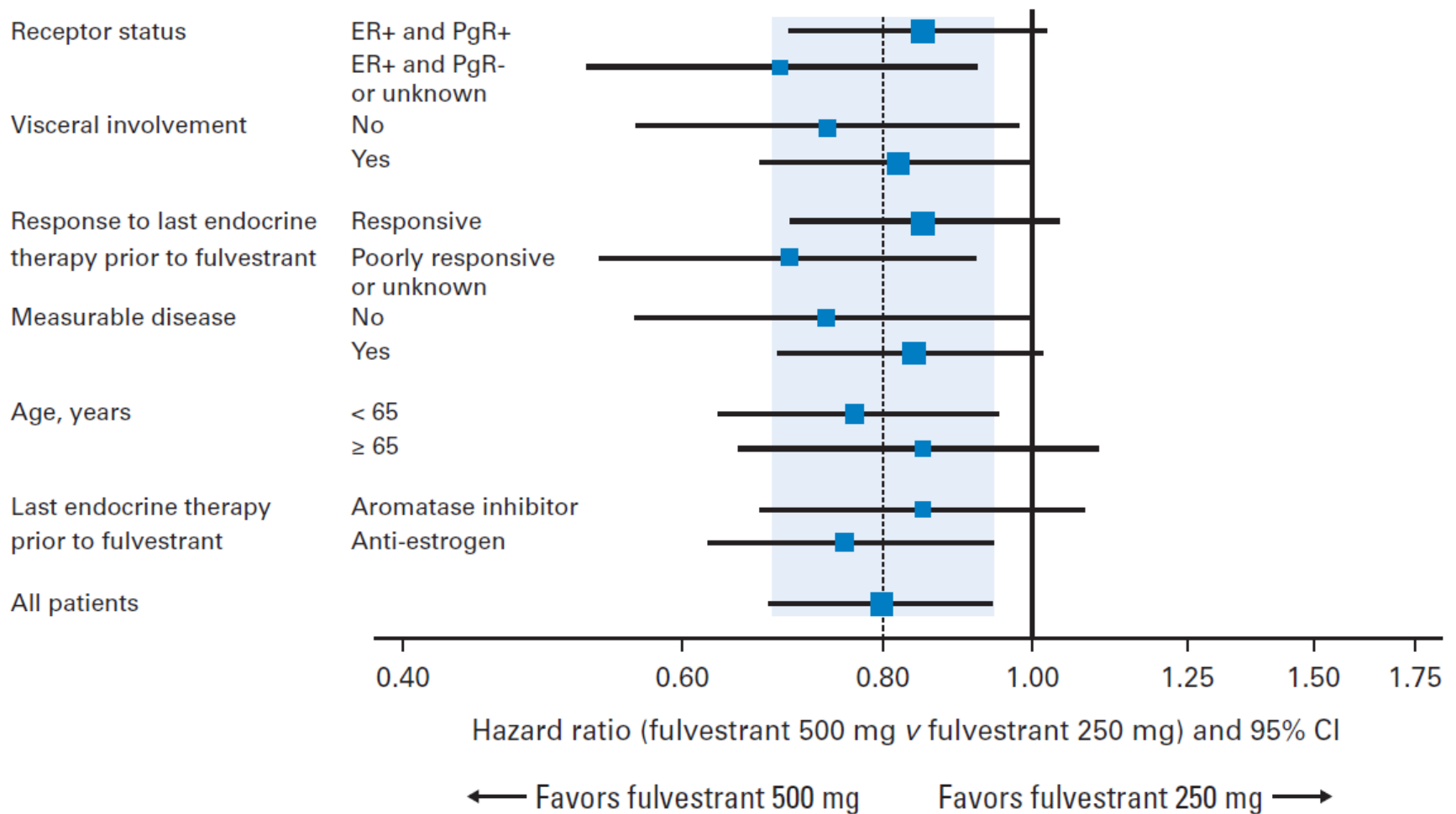


No. at risk	0	4	8	12	16	20	24	28	32	36	40	44	48
Fulvestrant 500 mg	362	330	285	251	223	165	116	74	46	29	16	6	0
Fulvestrant 250 mg	374	338	299	260	222	157	107	61	34	18	10	2	0

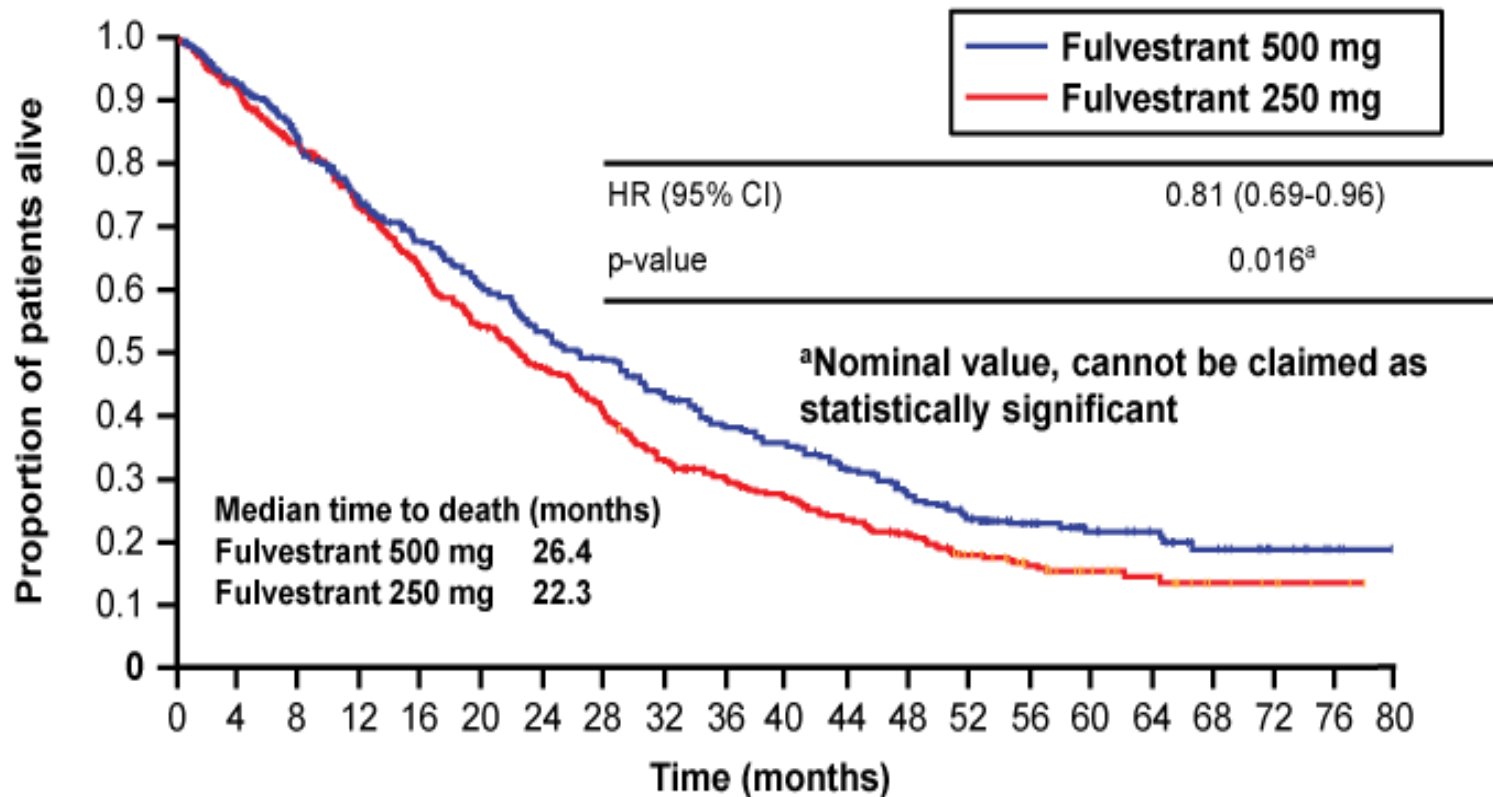
	Fulvestrant 500	Fulvestrant 250
Events (%)	82	85.8
Median TTP (mo)	6.5	5.5

- **Similar toxicity profile**

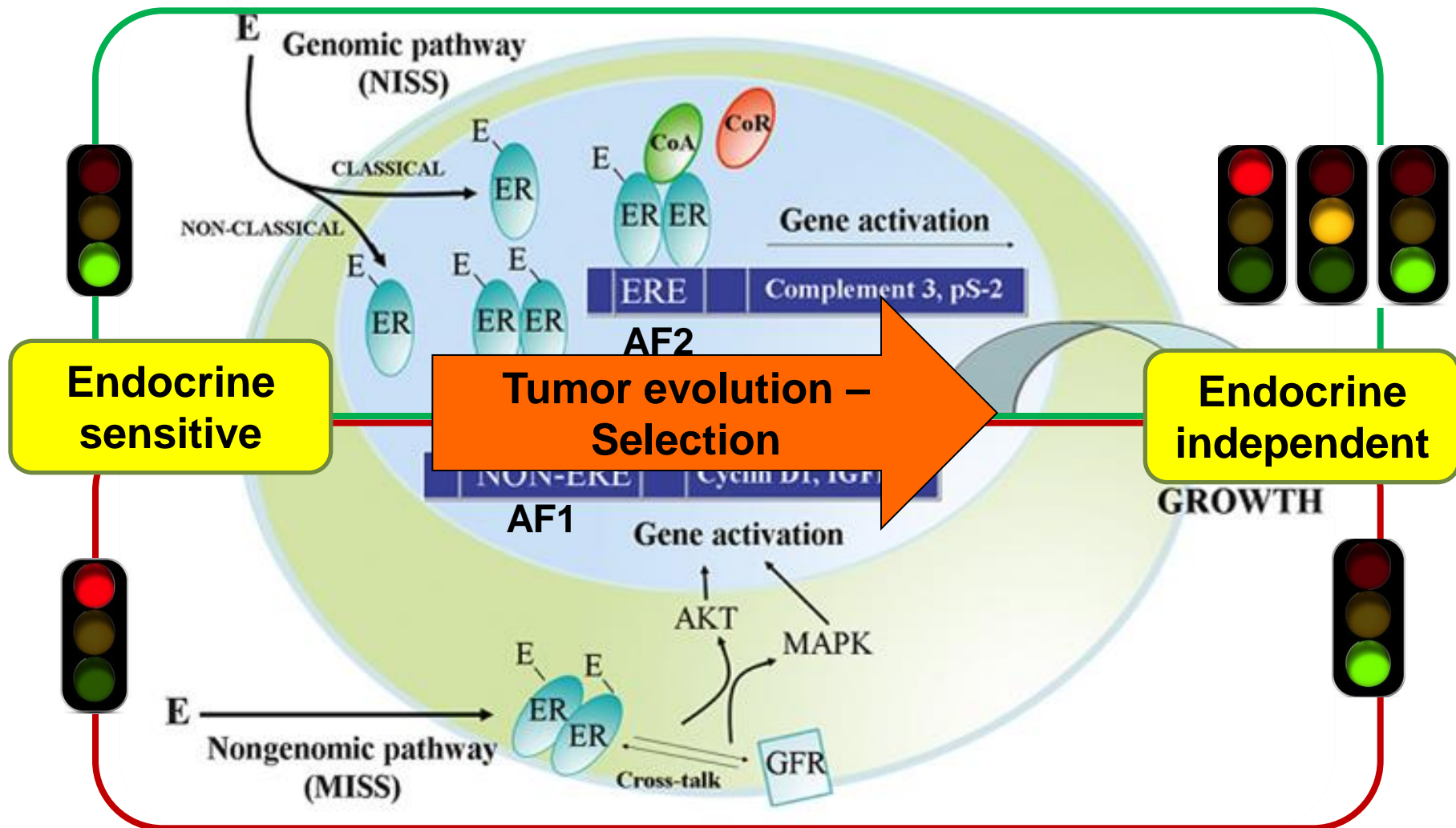
# Second Line (AI Resistant): CONFIRM PFS by Predefined Covariates



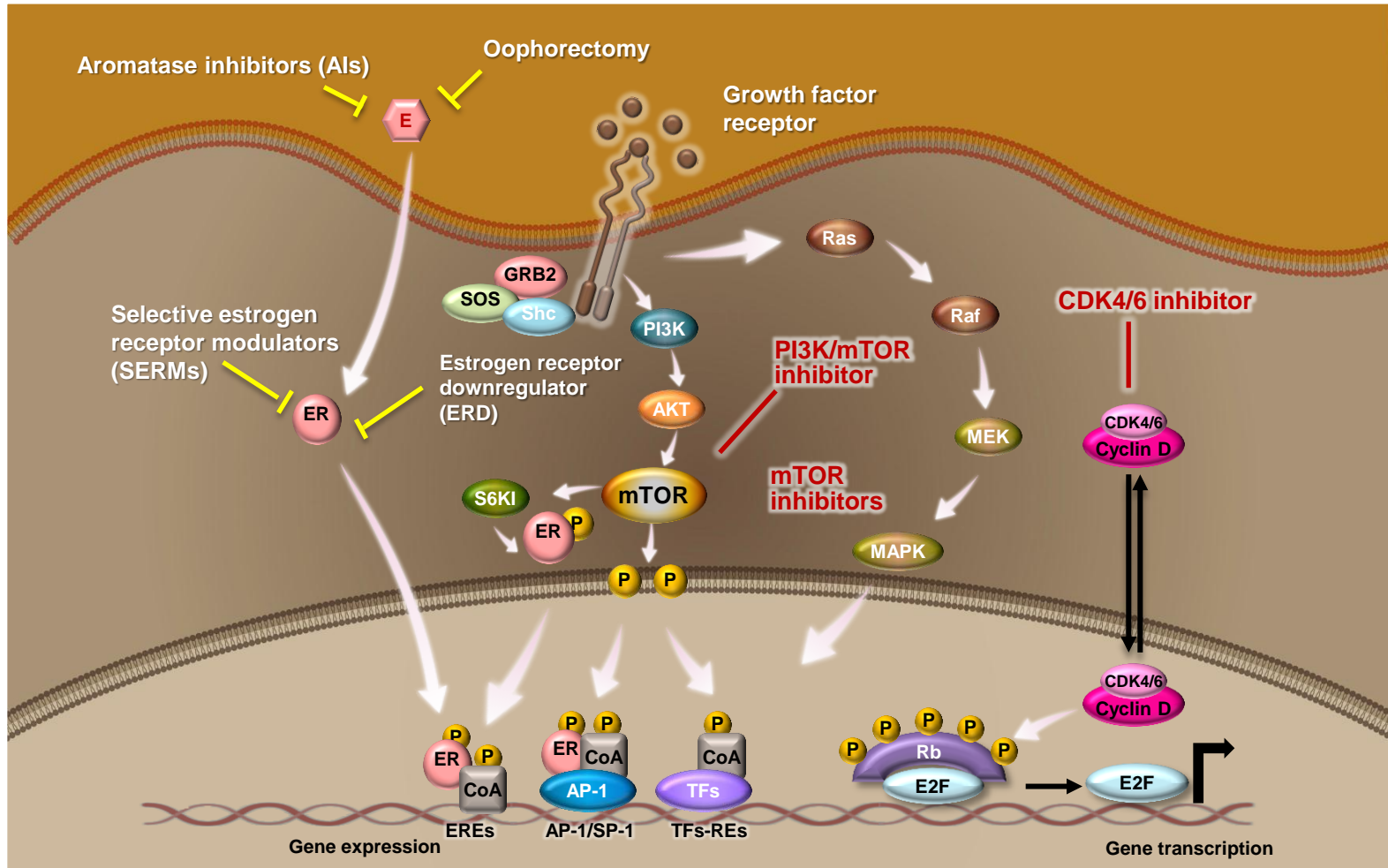
# Second Line (AI Resistant): CONFIRM Long-term Benefits in OS (ITT)



# ER Signaling Pathways: Most Prevalent Mechanisms of Resistance to AI



# Endocrine and Targeted Therapies for HR+/HER2- Advanced Breast Cancer



Adapted from Yardley DA, et al. ASCO BC 2011. Abstract 268; Osborne CK, et al. *Annu Rev Med.* 2011;62:233-247; Yamnik RL, et al. *J Biol Chem.* 2009;284:6361-6369.



# BOLERO-2: Phase III Exemestane ± Everolimus in Patients with ABC Progressing After NSAI

**N = 724**

PMW with HR+, HER2-ABC refractory to LET or ANA, defined as

- Recurrence during or within 12 months after end of adjuvant treatment, or
- Progression during or within 1 month after end of treatment for advanced disease

**Everolimus 10 mg/day +  
Exemestane 25 mg/day  
(n = 485)**

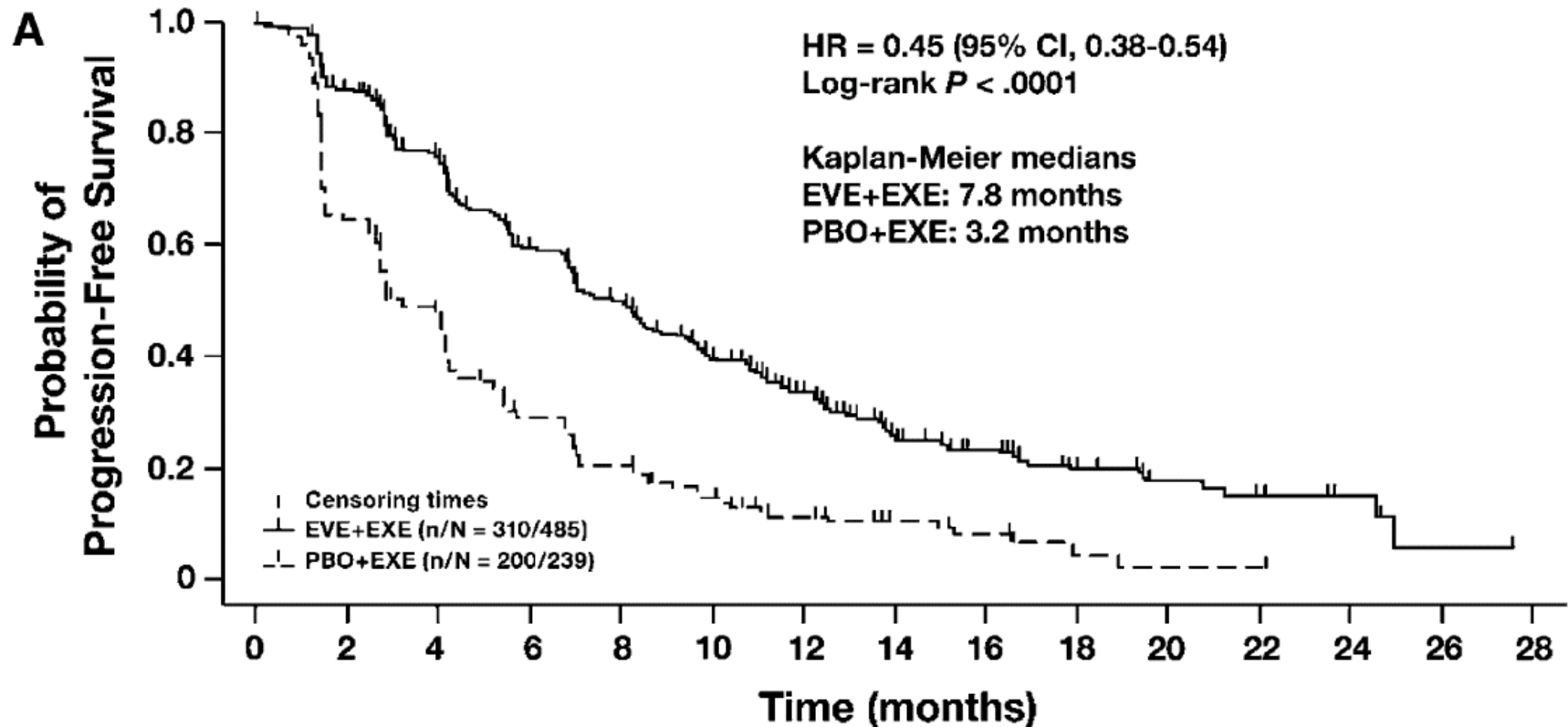
**Placebo +  
Exemestane 25 mg/day  
(n = 239)**

**Primary endpoint  
PFS**

**Secondary endpoints  
OS, ORR, CBR, safety,  
QOL, bone markers**

- Stratification
  1. Sensitivity to prior endocrine therapy
  2. Presence of visceral disease
- No crossover

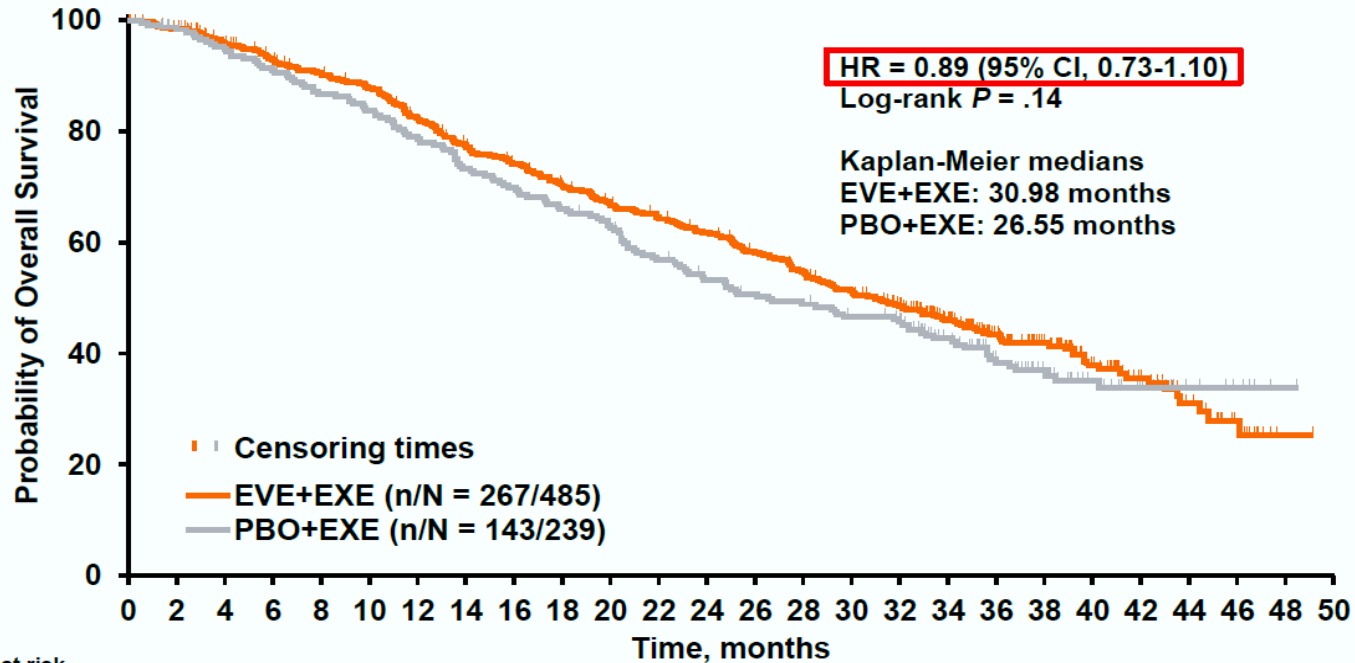
# EVE + EXE More Than Doubled Median PFS- Final Analysis by Local Assessment



No. at risk

EVE+EXE	485	394	318	236	194	147	99	57	42	23	13	10	4	1	0
PBO+EXE	239	146	103	61	42	27	17	9	6	2	1	1	0	0	0

# EVE + EXE Demonstrated a 4.4-month Not Statistically Significant Improvement in OS at 39-month Final Analysis



No. at risk

EVE+EXE	485	471	448	429	414	399	373	347	330	311	292	279	266	248	232	216	196	154	118	91	58	39	23	11	1	0
PBO+EXE	239	232	220	211	201	194	182	170	162	153	145	130	120	113	109	102	98	77	56	41	28	18	8	5	1	0

- At 39 months' median follow-up, 410 deaths had occurred (data cutoff date: October 3, 2013)
- 55% of patients (n = 267) in the EVE + EXE arm
- 60% of patients (n = 143) in the PBO + EXE arm

One-sided  $P$  value was obtained from the log-rank test stratified by sensitivity to prior hormonal therapy and presence of visceral metastasis from IXRS®.

IXRS, Interactive Voice and Web Response System.

Piccart M, et al. *Ann Oncol.* 2014;25:2357-2362.

# BOLERO-2: Longer Median Time from Randomization to First Chemotherapy or Death for EVE + EXE vs PBO + EXE

Time from Randomization to First Chemotherapy or Death	Everolimus + Exemestane (n = 485)	Placebo + Exemestane (n = 239)
<b>Number of events, n (%)</b>	<b>366 (75.5)</b>	<b>192 (80.3)</b>
Chemotherapy	257 (53.0)	150 (62.8)
Death	109 (22.5)	42 (17.6)
<b>Number censored, n (%)</b>	<b>119 (24.5)</b>	<b>47 (19.7)</b>
Discontinued from study	105 (21.6)	45 (18.8)
Ongoing at data cutoff <sup>a</sup>	14 (2.9)	2 (0.8)
<b>Time from randomization to first chemotherapy or death, months</b>		
25th percentile (95% CI)	5.68 (5.03-6.57)	3.06 (2.53-3.48)
Median (95% CI)	11.86 (10.45-13.08)	5.98 (5.09-7.39)
75th percentile (95% CI)	25.10 (22.97-28.06)	14.16 (10.74-18.50)

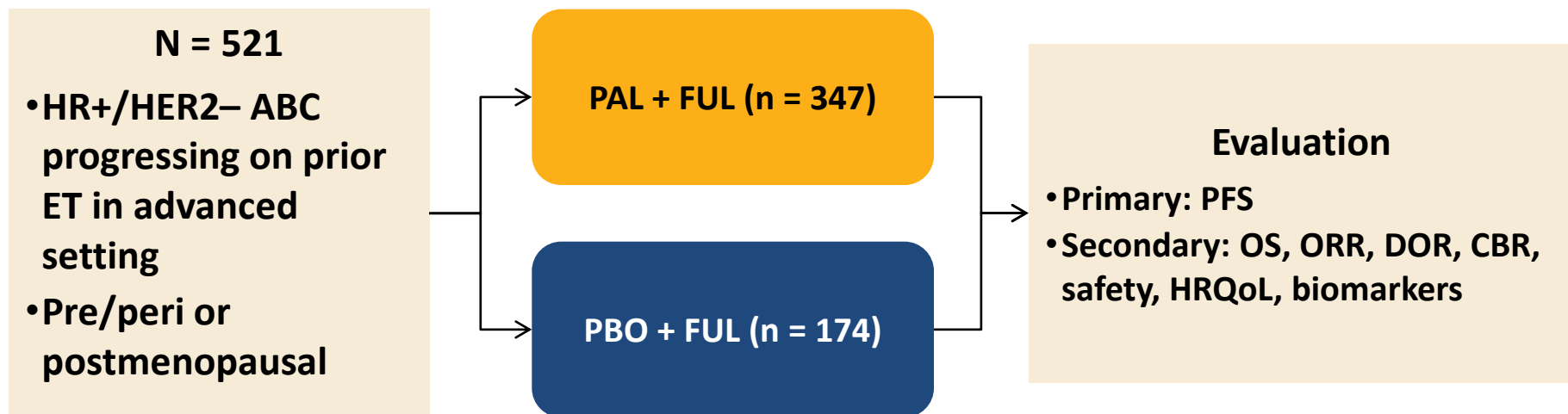
<sup>a</sup>Ongoing without any chemotherapy by the cutoff date.  
Piccart M, et al. *Ann Oncol*. 2014;25(12):2357-62.

# PALOMA-3: Phase III Trial of FUL ± PAL in Women with HR+/HER2– MBC Progressing on Prior ET

## Objectives

- To determine efficacy and safety of palbociclib (PAL) plus fulvestrant (FUL) in pts with HR+/HER2– mBC progressing on prior ET

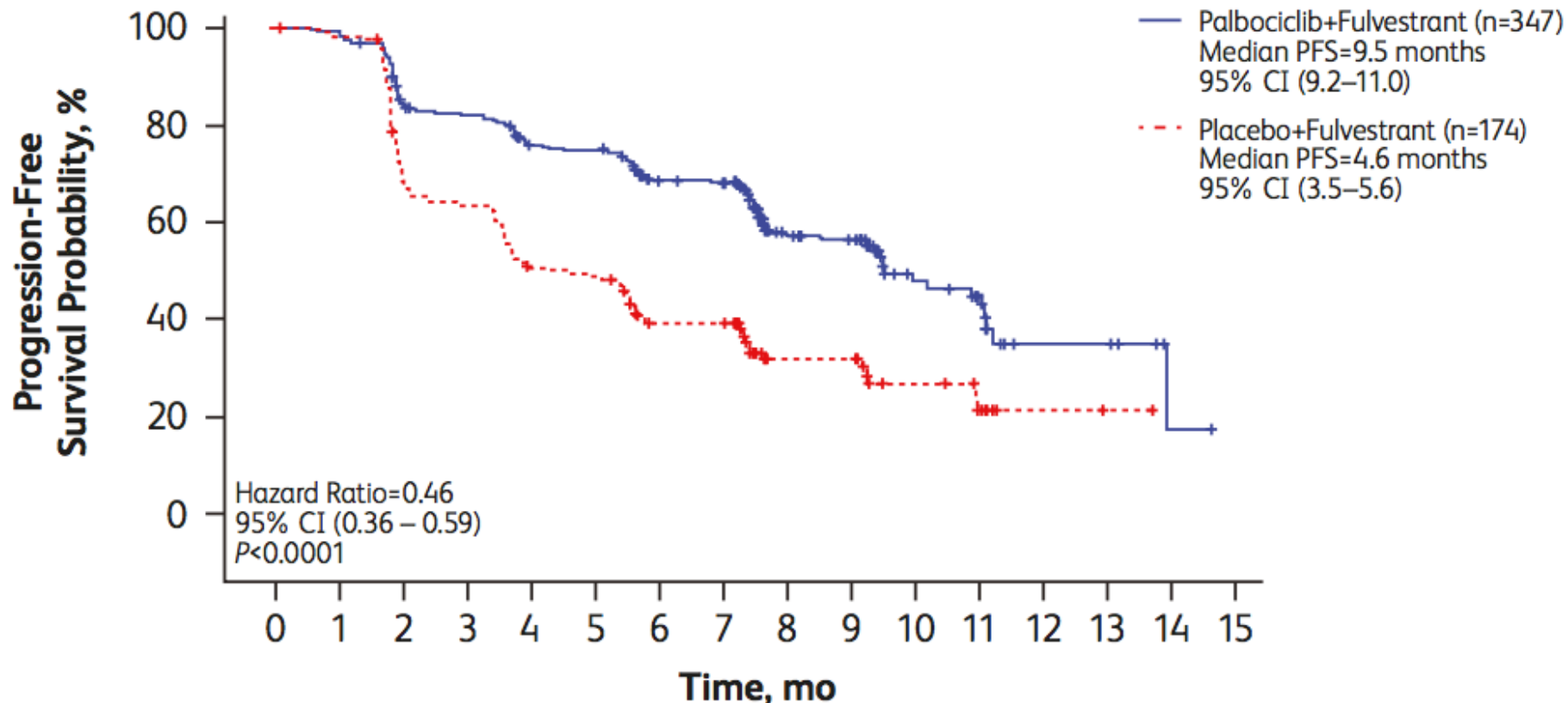
## Methodology



ABC, advanced breast cancer; CBR, clinical benefit rate; DOR, duration of response; ET, endocrine therapy; FUL, fulvestrant; HRQoL, health related quality of life; MBC, metastatic breast cancer; ORR, objective response rate; OS, overall survival; PAL, palbociclib; PFS, progression free survival.

# Paloma 3: PALBO-FULV vs. FLV 500

## PFS población global (ITT)



### Number of Patients at Risk:

PAL+FUL	347	333	281	273	247	244	202	197	91	85	32	23	7	7	1	0
PCB+FUL	174	165	112	105	83	80	59	58	22	22	13	7	2	1	0	0

FUL=fulvestrant; PAL=palbociclib; PCB=placebo; PFS=progression-free survival.

\*Investigator-assessed.

Palbociclib is not approved in Korea

# Second Line Endocrine Therapy Phase III Results

Postmenopausal Patients Progressing on tamoxifen, letrozole or anastrozole

	LET	EXE	FV LD	FV HD	EXE + RAD	FVHD + PALBO
<i>Control</i>	MEG. AC	MEG. AC	EXE	FV LD	EXE	FV HD
HR PFS	1.04	0.82	0.96	0.80	0.43	0.42
<i>p</i>	NS	0.037	NS	0.006	<0.00001	<0.00001
<i>Median PFS</i>	3,8	4,8	3,7	6,5	7,8	9,2

LET, letrozole; EXE, exemestane; FVLD, fulvestrant 250 mg; FVHD, fulvestrant 500 mg; RAD, everolimus; PALBO, palbociclib; MEG AC, megestrol acetate

Dombernowsky et al. J Clin Oncol. 1998;16:453-61. Kaufmann et al. J Clin Oncol. 2000 Apr;18(7):1399-411. Chia et al. J Clin Oncol. 2008;26:1664-1670. Di Leo A et al. J Clin Oncol 2010; 28: 4594-4600. Yardley D et al. Adv Ther. 2013; 30(10):870-884

# Face-to-Face: CONFIRM vs PALOMA-3 vs BOLERO-2

All studies had the same indication, but not the same population

Percentage	CONFIRM	PALOMA-3	BOLERO-2
Progression on AI	65	85	100
Benefit to prior line of ET	NK	79	85
Prior chemotherapy for ABC	NK	31	24
1 <sup>st</sup> – 2 <sup>nd</sup> ABC line of therapy	100	62	61
Dose intensity	98	91	78
Discontinuations	2,3	2,5 (SAE related)	6.5 + 6.7*
<b>PFS HR</b>	<b>0.80</b>	<b>0.42</b>	<b>0.45</b>

\*Includes treatment discontinuations and consent withdrawal.



# CONFIRM / Fulvestrant

## Toxicity profile

	N (%) patients		P-value
	Fulvestrant 500mg N=361	Fulvestrant 250mg N=374	
Endometrial dysplasia	0	0	
GI disturbances	73 (20.2)	76 (20.3)	1.000
Hot flushes	30 (8.3)	23 (6.1)	0.318
Injection site reactions	49 (13.6)	50 (13.4)	1.000
Joint disorders	68 (18.8)	70 (18.7)	1.000
Osteoporosis	1 (0.3)	0	0.492
Thromboembolic events	3 (0.8)	6 (1.6)	0.506
Urinary tract infection	8 (2.2)	8 (2.1)	1.000
Vaginitis	3 (0.8)	1 (0.3)	0.366
Weight gain	1 (0.3)	1 (0.3)	1.000

# BOLERO-2 / Everolimus

## Toxicity profile

AE (Preferred Term)	EVE + EXE (n = 482), %				
	Grade				
	All	1	2	3	4
Stomatitis	59	29	22	8	0
Rash	39	29	9	1	0
Fatigue	37	18	14	4	<1
Diarrhoea	34	26	6	2	<1
Nausea	31	21	9	<1	<1
Decreased appetite	31	19	10	1	0
Weight decreased	28	10	16	2	0
Cough	26	21	4	1	0
Pneumonitis*	16	7	6	3	0
Hyperglycaemia*	14	4	5	5	<1

\*Incidence <25%, but AE of special interest.  
Yardley D, et al. *Adv Ther.* 2013;30(10):870-884.

# PALOMA-3 / Palbociclib

## Adverse Events—All Cause

AE, %	Palbociclib + Fulvestrant (n=345)			Placebo + Fulvestrant (n=172)		
	Any Grade	Grade 3	Grade 4	Any Grade	Grade 3	Grade 4
Any AE	98	59	11	89	16	2
Neutropenia	79	53	9	3	0	1
Leukopenia	46	25	1	4	0	1
Anemia	26	3	0	10	2	0
Thrombocytopenia	19	2	1	0	0	0
Fatigue	38	2	0	27	1	0
Nausea	29	0	0	26	1	0
Headache	21	<1	0	17	0	0
Upper respiratory infection <sup>a</sup>	19	<1	0	16	0	0
Diarrhea	19	0	0	17	1	0
Constipation	17	0	0	14	0	0
Alopecia	15	0	0	6	0	0

AE=adverse event. AEs with ≥15% incidence in the palbociclib + fulvestrant group reported.

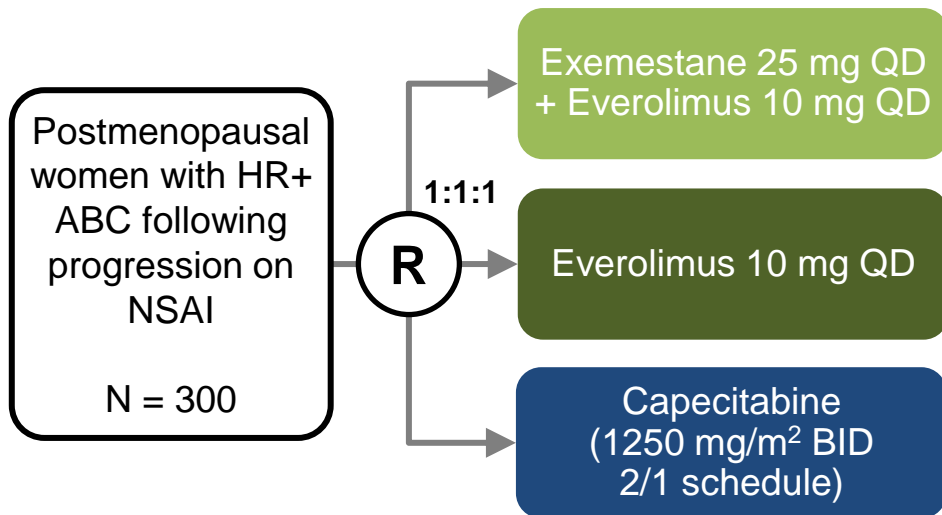
Turner NC, et al. *N Engl J Med*. 2015;373:209-219  
Turner NC, et al. ASCO 2015 (Abstract LBA502)

**Palbociclib is not approved in India**

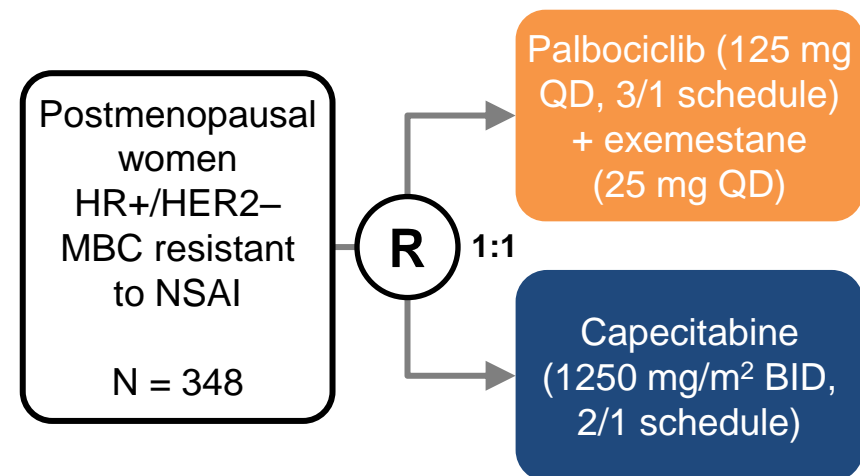
# Other Options After NSAI: Phase II–III Trials

## Face-to-Face – Endocrine Therapy vs Capecitabine

Phase II, BOLERO-6<sup>1</sup>

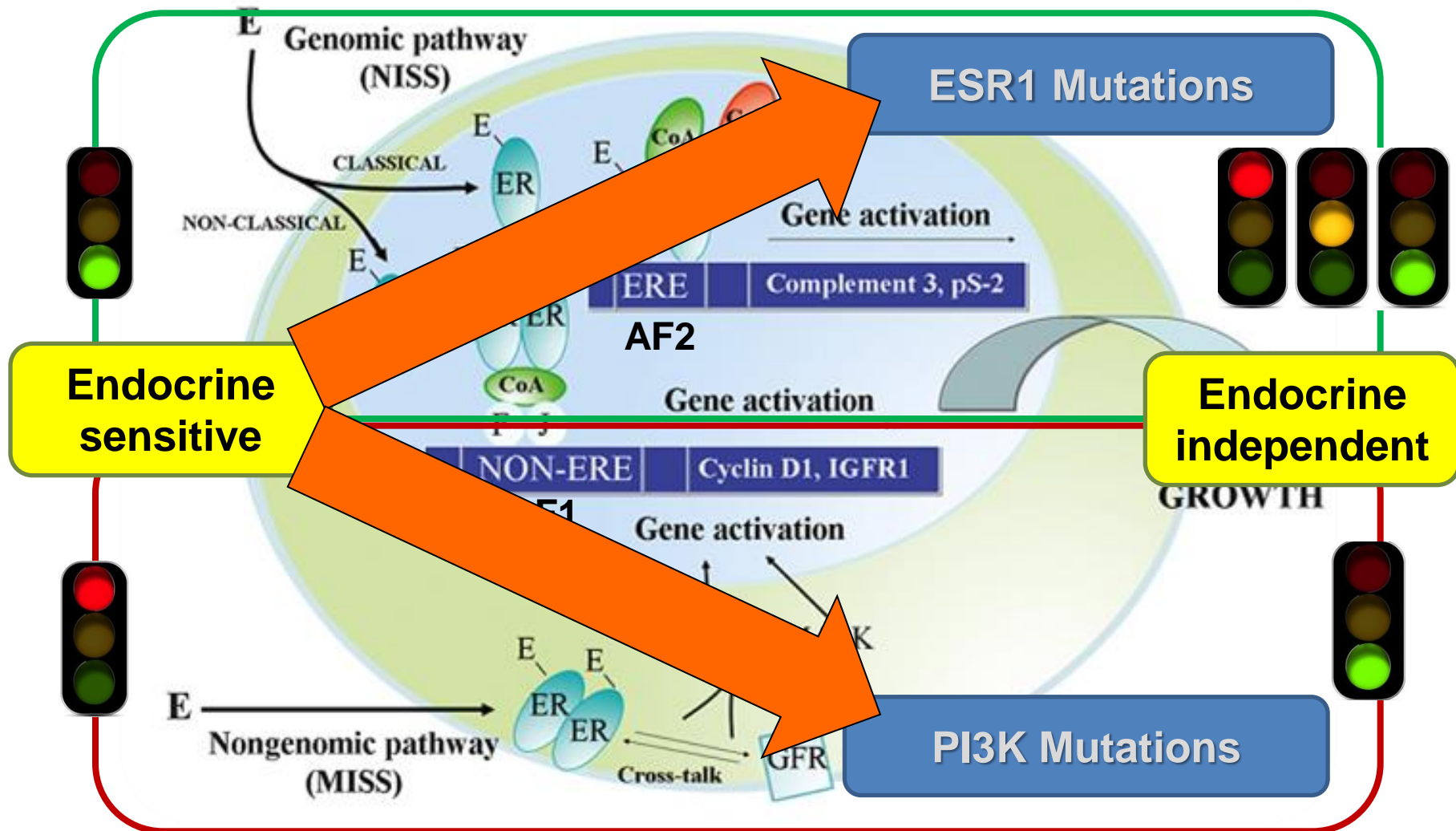


Phase III, PEARL<sup>2</sup>



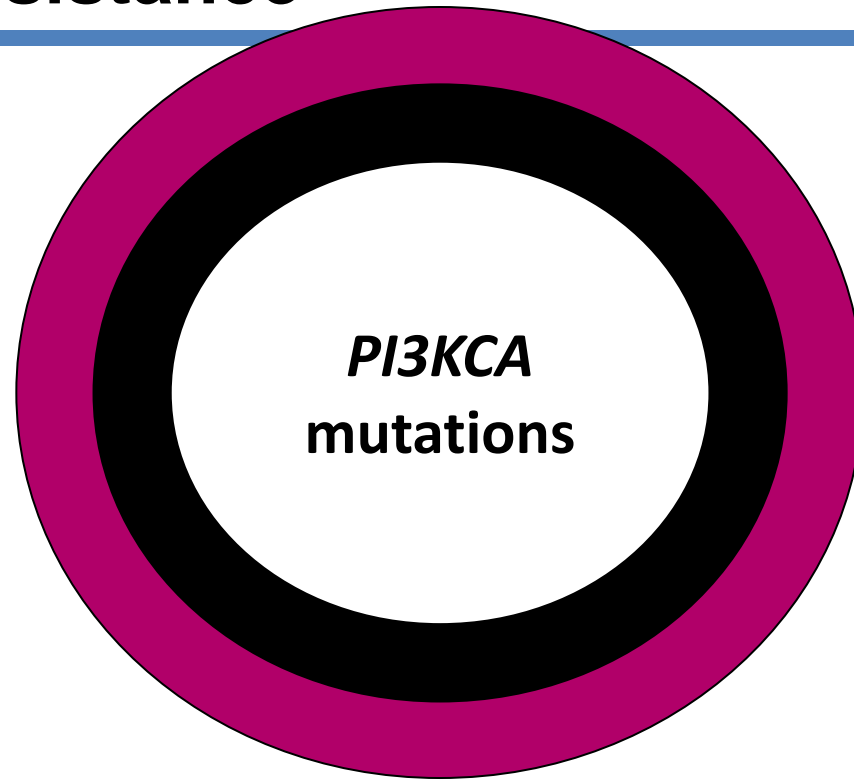
1. Clinicaltrials.gov NCT01783444;  
2. Clinicaltrials.gov NCT02028507.

# ER Signaling Pathways: Most Prevalent Mechanisms of Resistance to AI



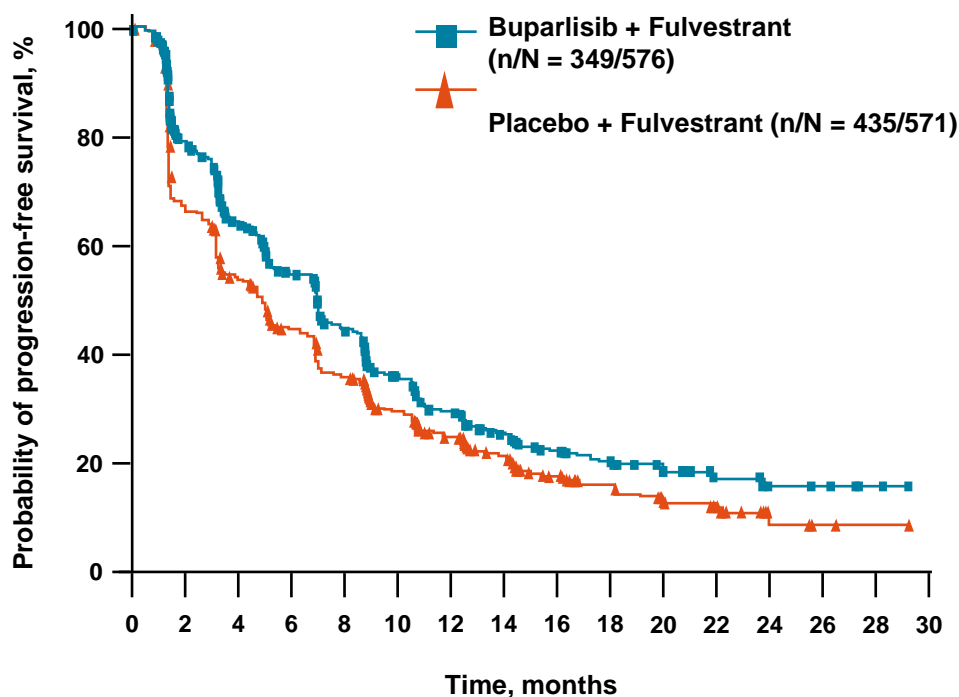
# Acquired Resistance

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**Sustaining proliferative  
signaling**

# BELLE-2 Met the Primary Endpoint for PFS Improvement in the Full Population



Full Population (N = 1147)	Buparlisib + Fulvestrant n = 576	Placebo + Fulvestrant n = 571
Median PFS, months (95% CI)	6.9 (6.8–7.8)	5.0 (4.0–5.2)
HR (95% CI)	0.78 (0.67–0.89)	
One-sided P value	<.001	

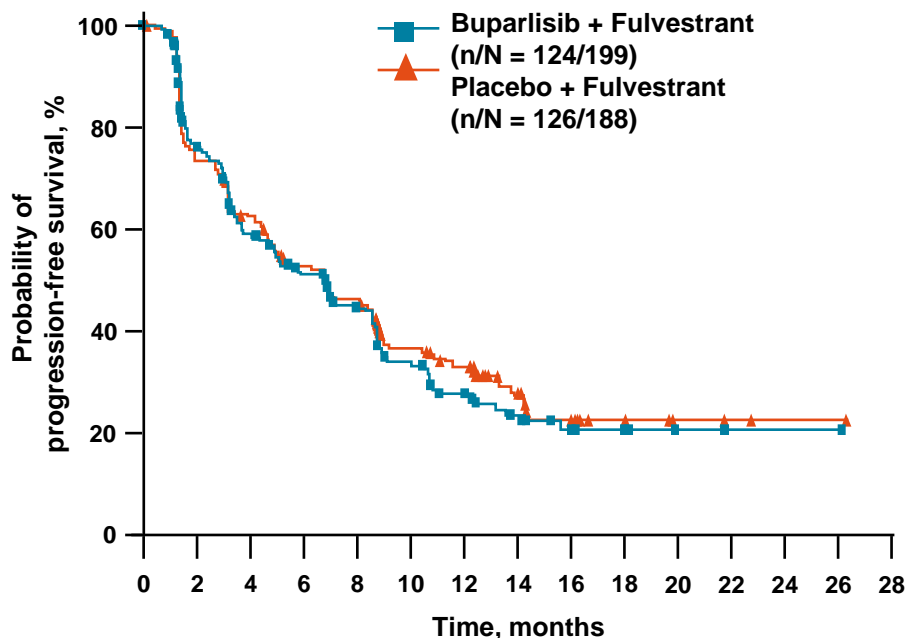
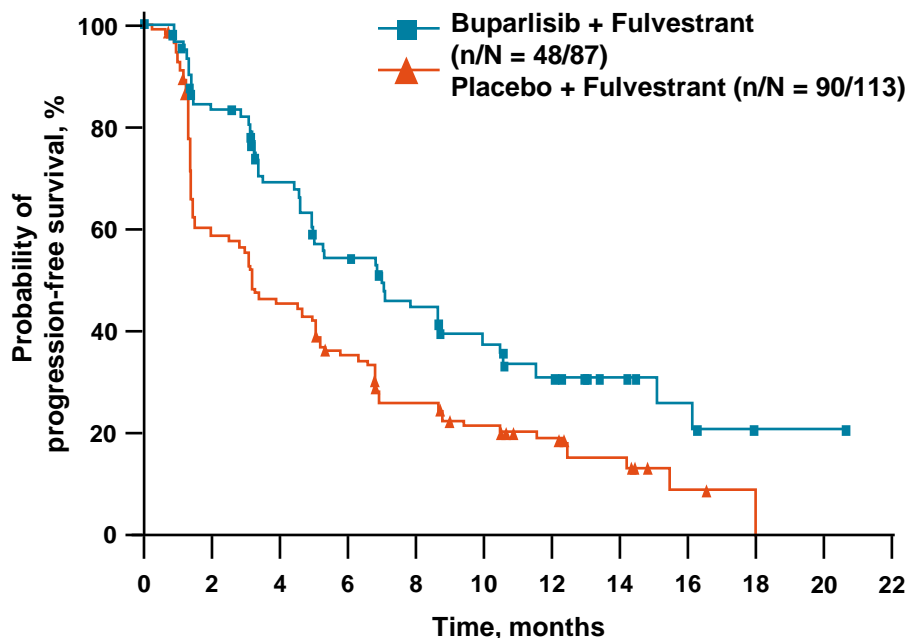
- A similar PFS improvement was observed in the main population (HR 0.80 [95% CI, 0.68–0.94]; one-sided *P* value = .003)
- Follow-up for OS analysis is ongoing, with a prespecified target of 588 deaths in the full population
  - At the time of primary PFS analysis, OS data were immature (281 deaths in the full population), with a trend in favor of the buparlisib arm

CI, confidence interval; HR, hazard ratio; OS, overall survival; PFS, progression-free survival.  
 Baselga J, et al. SABCS 2015. Poster S6-01.

# Buparlisib + Fulvestrant: Clinically Meaningful PFS Improvement in Patients with ctDNA *PIK3CA* Mutations

ctDNA <i>PIK3CA</i> Mutant n = 200	Buparlisib + Fulvestrant n = 87	Placebo + Fulvestrant n = 113
Median PFS, months (95% CI)	7.0 (5.0–10.0)	3.2 (2.0–5.1)
HR (95% CI)	0.56 (0.39–0.80)	
One-sided nominal <i>P</i> value	<.001	

ctDNA <i>PIK3CA</i> Nonmutant n = 387	Buparlisib + Fulvestrant n = 199	Placebo + Fulvestrant n = 188
Median PFS, months (95% CI)	6.8 (4.7–8.5)	6.8 (4.7–8.6)
HR (95% CI)	1.05 (0.82–1.34)	
One-sided nominal <i>P</i> value	.642	

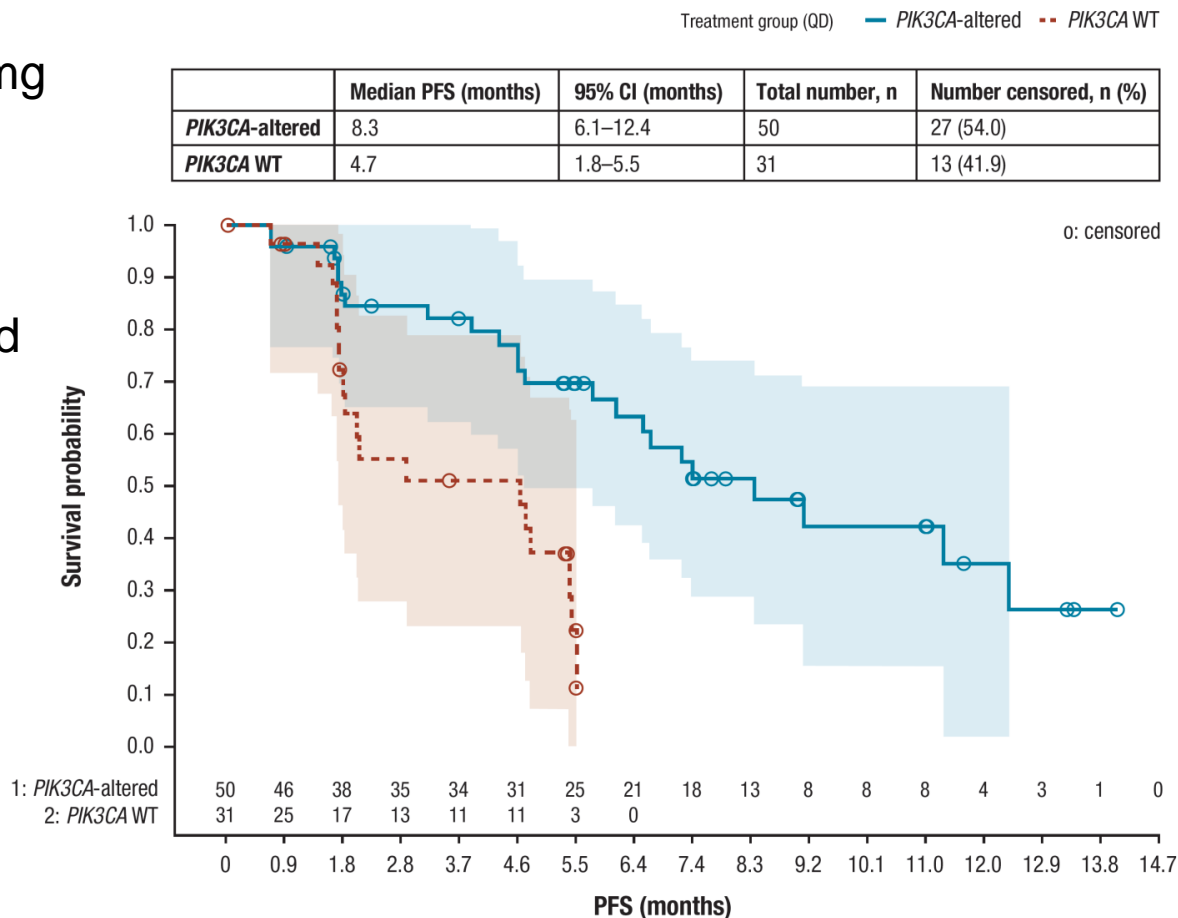


CI, confidence interval; HR, hazard ratio; OS, overall survival; PFS, progression-free survival.  
 Baselga J, et al. SABCS 2015. Poster S6-01.



# Alpelisib + Fulvestrant Activity in Patients with ABC Harboring Mutant or Wildtype *PIK3CA*

- Alpelisib + Fulvestrant 500 mg demonstrated encouraging clinical activity across dose levels
- Patients with *PIK3CA*-altered tumors had better response vs WT
  - Increased ORR (not shown)
  - Longer PFS benefit

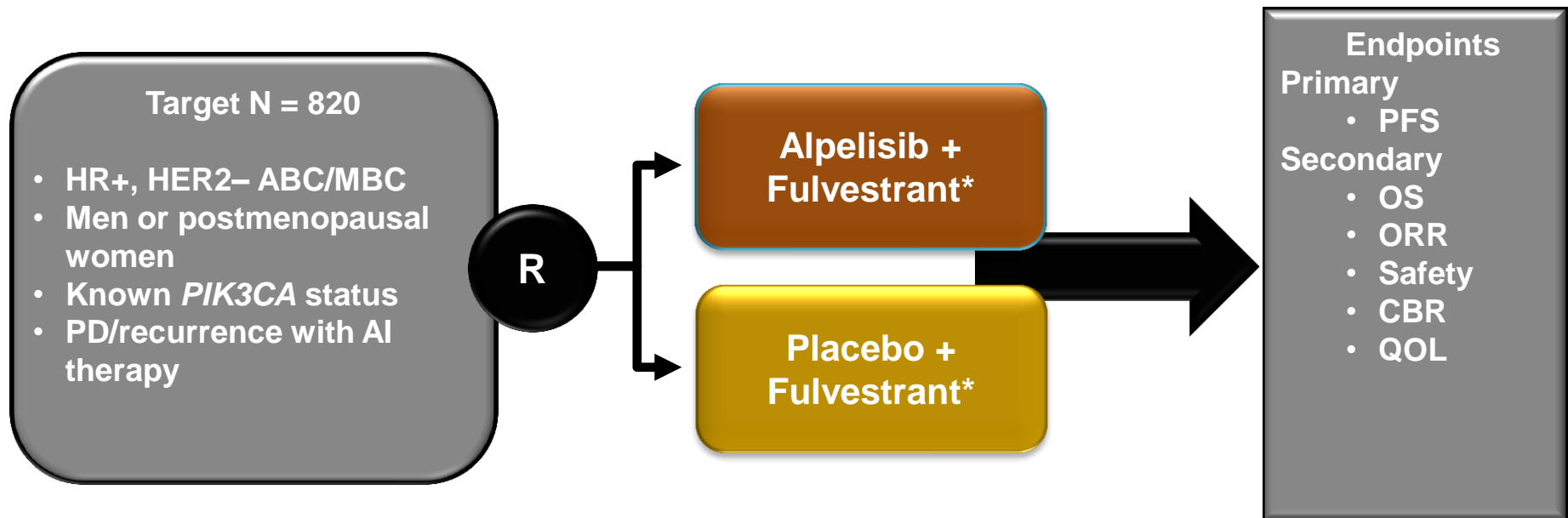


ABC, advanced breast cancer; CI, confidence interval; ORR, overall response rate; PFS, progression-free survival; *PIK3CA*, phosphoinositide 3-kinase, catalytic, alpha; QD, once daily; WT, wildtype.

Reprinted from Janku F, et al. SABCS 2014. Abstract PD5-5 (poster presentation); [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (NCT01219699).

# SOLAR-1: Alpelisib + Fulvestrant Treatment in ABC Following AI Therapy

**SOLAR-1 (NCT02437318)<sup>1</sup>: Phase III randomized, double-blind, placebo-controlled study**



**Enrollment began July 2015; study is currently enrolling**

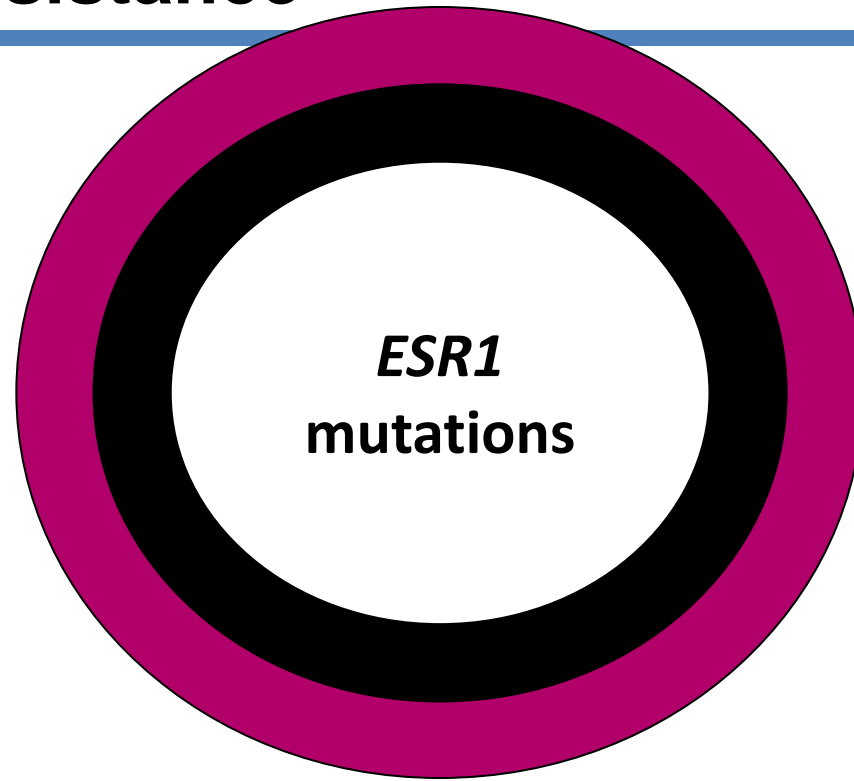
ABC, advanced breast cancer; AI, aromatase inhibitor; CBR, clinical benefit rate; HER2, human epidermal growth factor receptor-2; HR, hormone receptor; IM, intramuscular; MBC, metastatic breast cancer; ORR, overall response rate; PD, progressive disease; PFS, progression-free survival; PIK3CA, phosphoinositide 3-kinase, catalytic, alpha; PO, oral; QOL, quality of life.

\*Alpelisib or placebo (300 mg; PO; once daily); fulvestrant (500 mg; IM; Day 1 and Day 15 of Cycle 1, then Day 1 of each subsequent 28-day cycle).

1. [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (NCT02437318).

# Acquired Resistance

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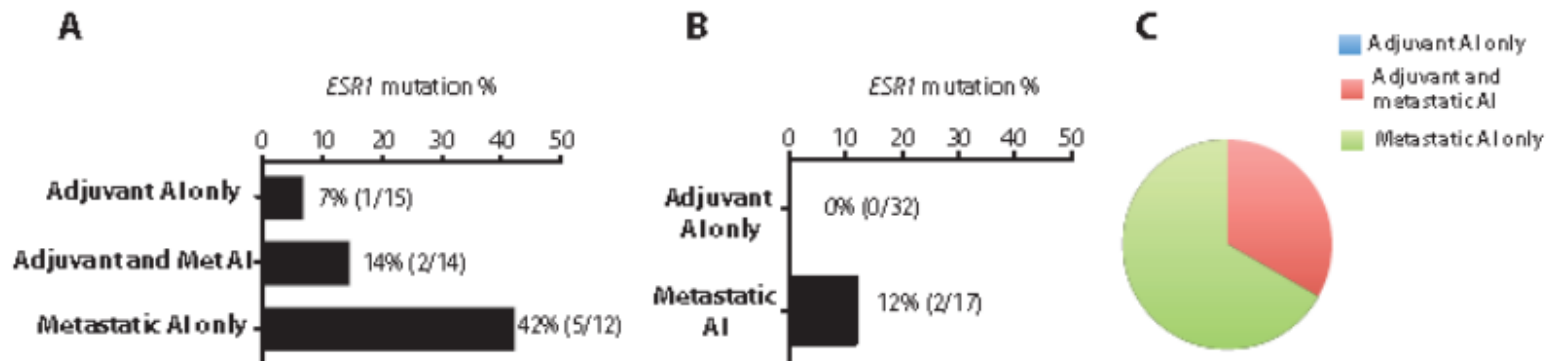
**Signaling independent of estrogen  
stimulation-inhibition**

# ESR1 Mutations in Metastatic Breast Cancer

Mutations of the ER gene (ESR1 mutations) have recently been identified as a causative factor for the development of endocrine resistance

ESR1 mutations are only rarely found in primary breast cancer and are only found at an appreciable frequency after the development of hormone resistance

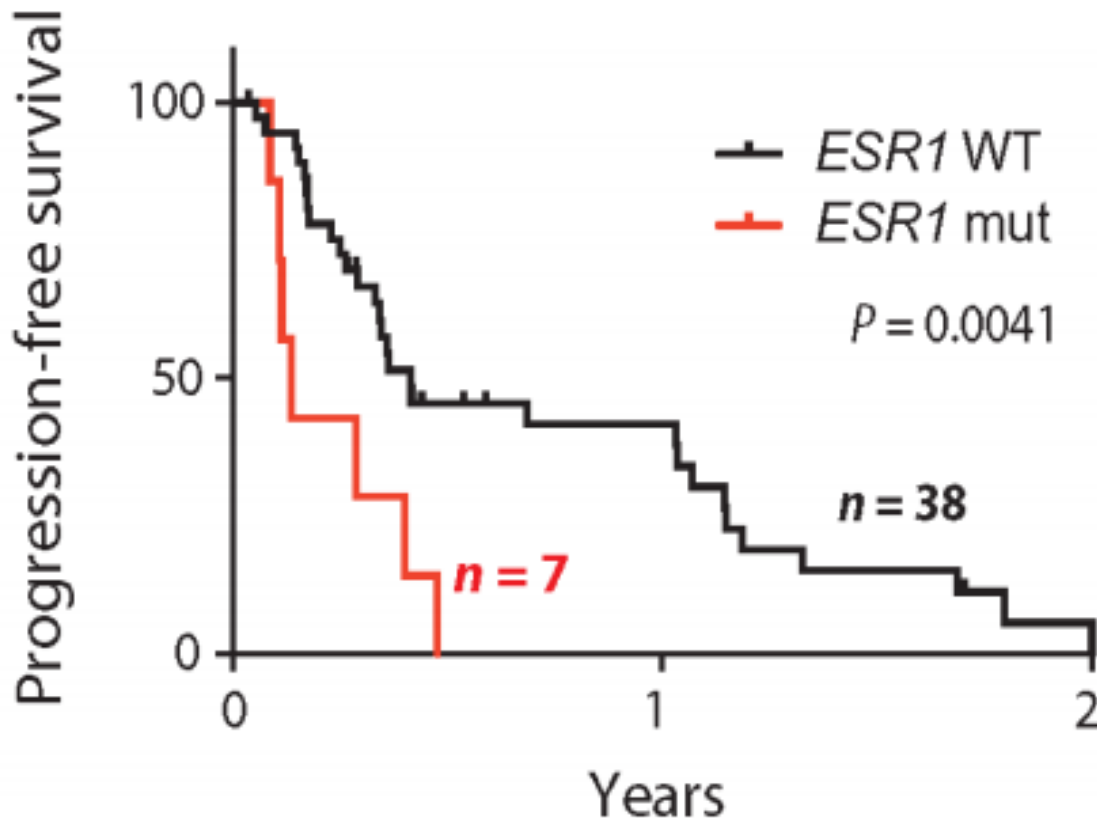
Most of the ESR1 mutations occur in a hotspot within the ligand binding domain (LBD), and constitutively activate the ER in a ligand independent manner



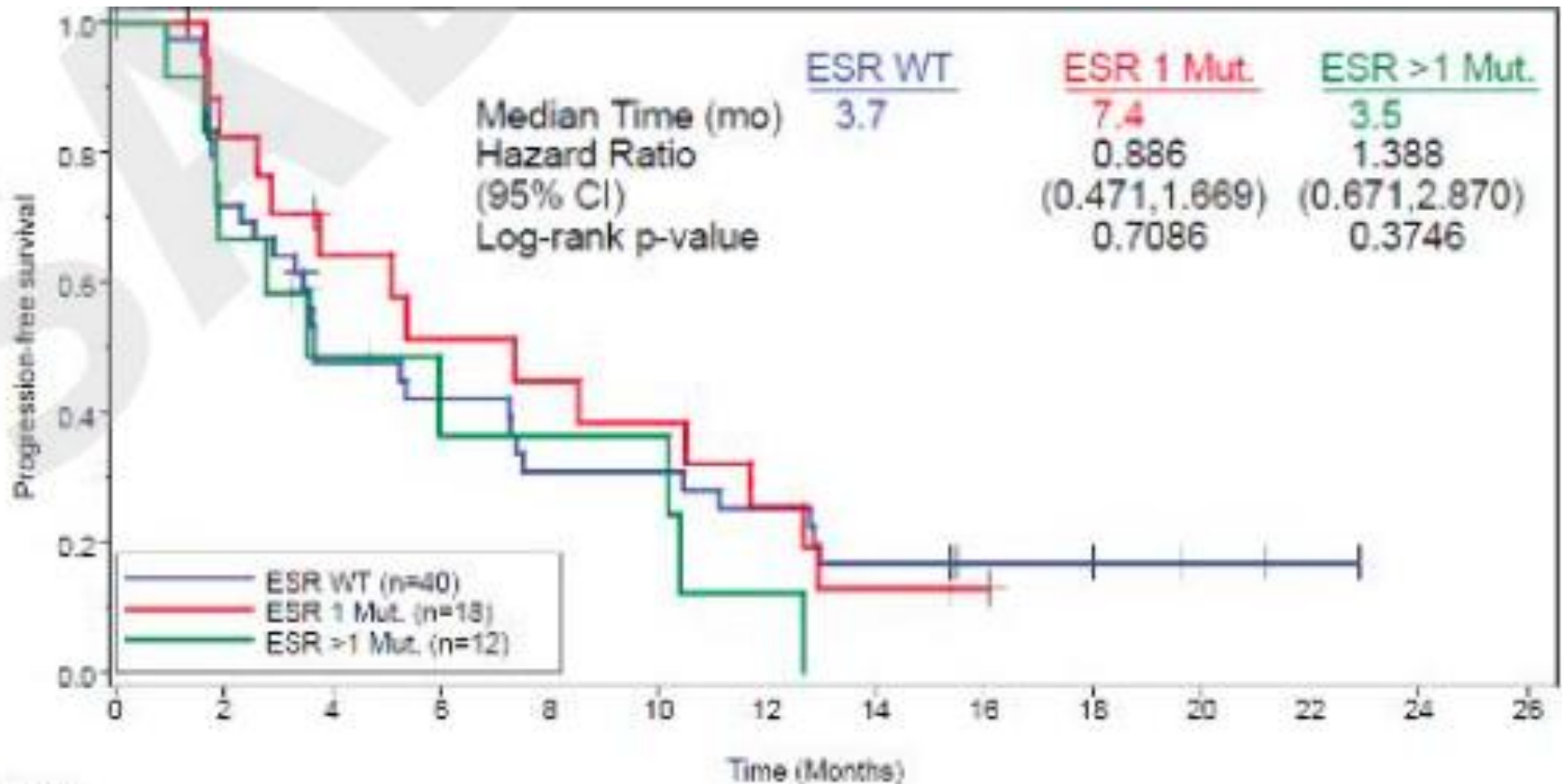
**Fig. 4. Validation and independent series confirm the importance of timing of previous AI exposure for ESR1 mutation selection.** (A) ESR1 mutation rate assessed only in patients with detection of a mutation other than ESR1 in plasma DNA.  $P = 0.061$ ,  $\chi^2$  test overall;  $P = 0.035$ , adjuvant AI only versus metastatic AI only. (B) Assessment of ESR1 mutation rate in an independent series of 49 breast tumor biopsies that had recurred after previous AI therapy. No ESR1 mutations were identified in breast tumor biopsies relapsing after adjuvant AI (0%; 95% CI, 0 to 10.9). (C) Reassessment of a second independent series of ESR1 mutant-positive cancers, with timing of previous AI therapy (9).

# ctDNA *ESR1* Mutations: Potential Mechanism of Resistance to Aromatase Inhibitors

PFS on AI therapy after ctDNA analysis for patients with *ESR1* mutant and WT ctDNA (HR, 3.1; 95% CI, 1.9 to 23.1; P = 0.0041, log-rank test).



# ctDNA *ESR1* Mutations: Not a Resistant Mechanism for Fulvestrant (FERGI Trial)

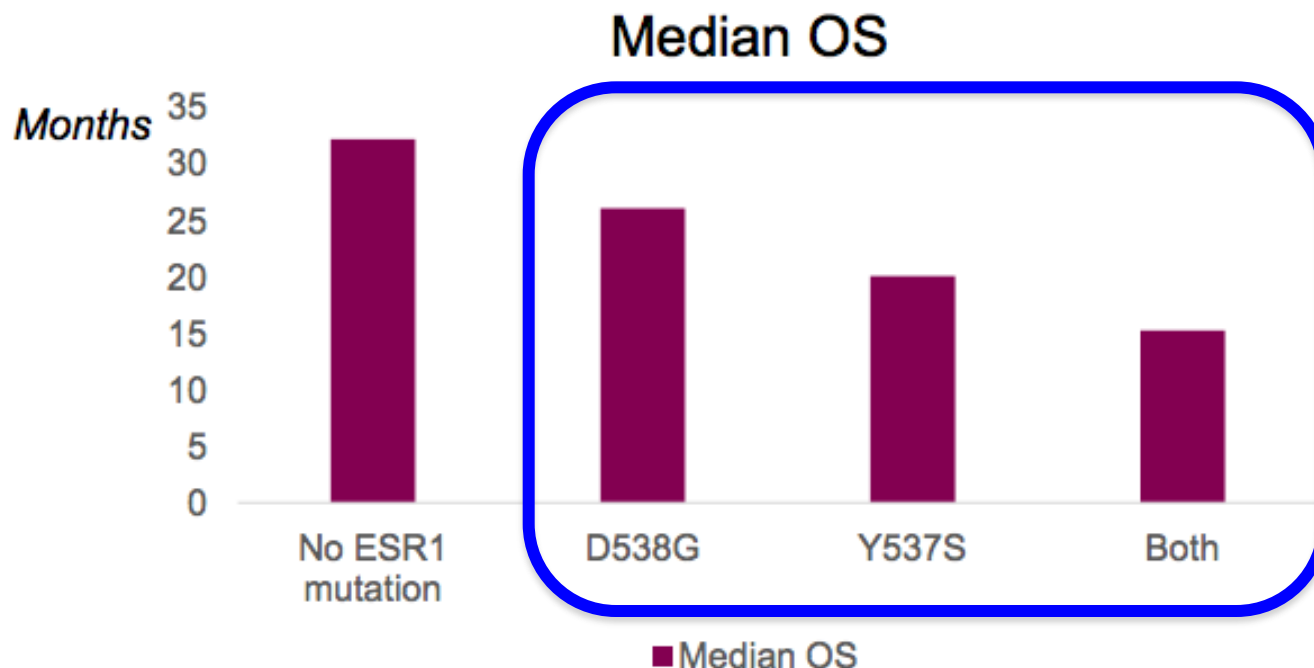


Number at Risk:

	0	2	4	6	8	10	12	14	16	18	20	22	24	26
ESR WT	40	28	17	15	11	11	9	8	4	4	2	1	0	0
ESR 1 Mut.	18	14	10	8	7	6	4	2	1	0	0	0	0	0
ESR >1 Mut.	12	8	5	3	3	3	1	0	0	0	0	0	0	0

# BOLERO-2: *ESR1* Mutations and Overall Survival

- Cell free DNA samples analysed from 541 of the 724 women in BOLERO2
- Almost 30% tested positive for *ESR1* mutations (D538G and Y537S)



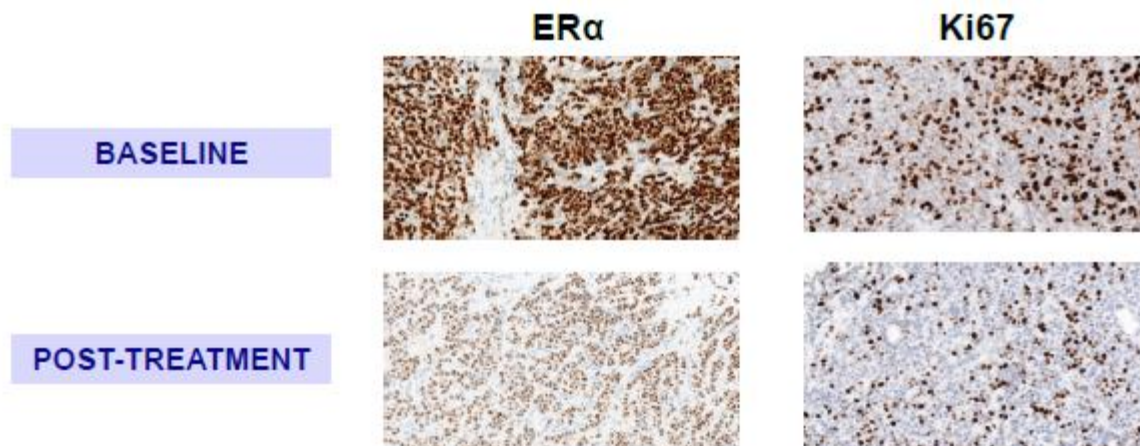
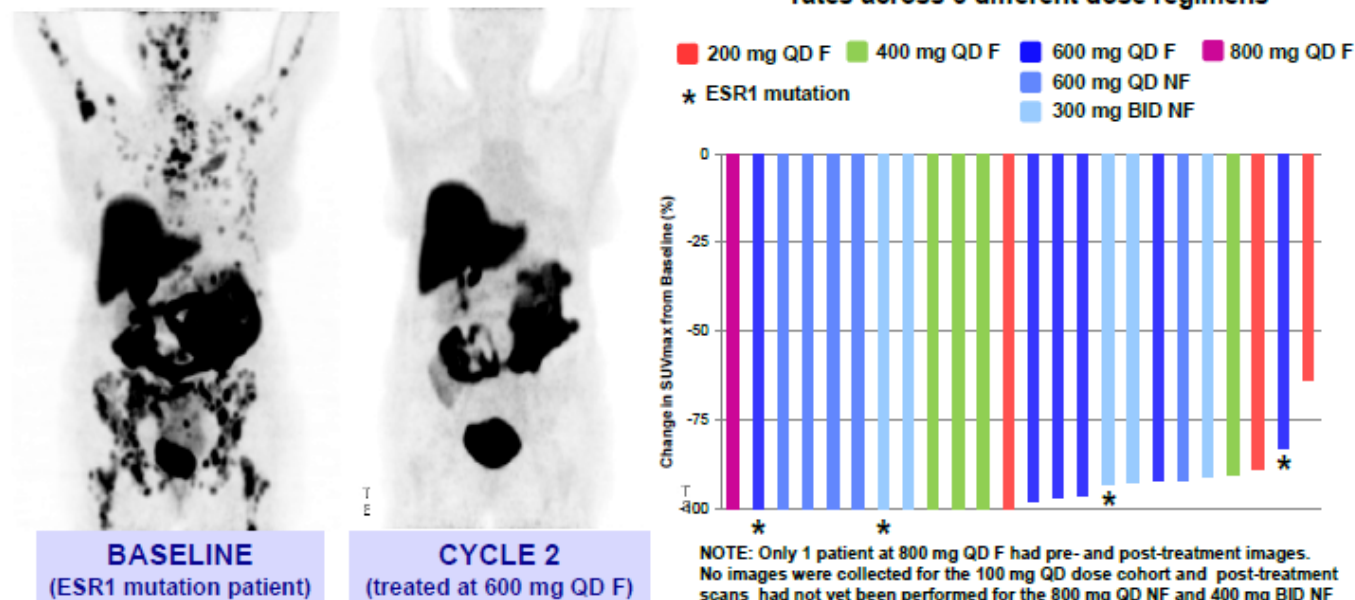
# Phase I Study of ARN-810 (GDC-0810), a Novel and Potent Oral Selective Estrogen Receptor Degradar, in Postmenopausal Women with Metastatic Estrogen Receptor Positive (ER+), HER2- Breast Cancer

Aditya Bardia,<sup>1</sup> Maura N Dickler,<sup>2</sup> Ingrid A Mayer,<sup>3</sup> Eric Winer,<sup>4</sup> Umar Mahmood,<sup>1</sup> Gary Ulaner,<sup>2</sup> H Charles Manning,<sup>3</sup> Peter Rix,<sup>5</sup> Jeffrey H Hager,<sup>5</sup> Debasish Roychowdhury,<sup>5</sup> Edna Chow Maneval,<sup>5</sup> Carlos L Arteaga,<sup>3</sup> and Jose Baselga<sup>2</sup>

<sup>1</sup>Massachusetts General Hospital Cancer Center, Boston, MA; <sup>2</sup>Memorial Sloan-Kettering Cancer Center, New York, NY; <sup>3</sup>Vanderbilt-Ingram Cancer Center, Vanderbilt University, Nashville, TN;

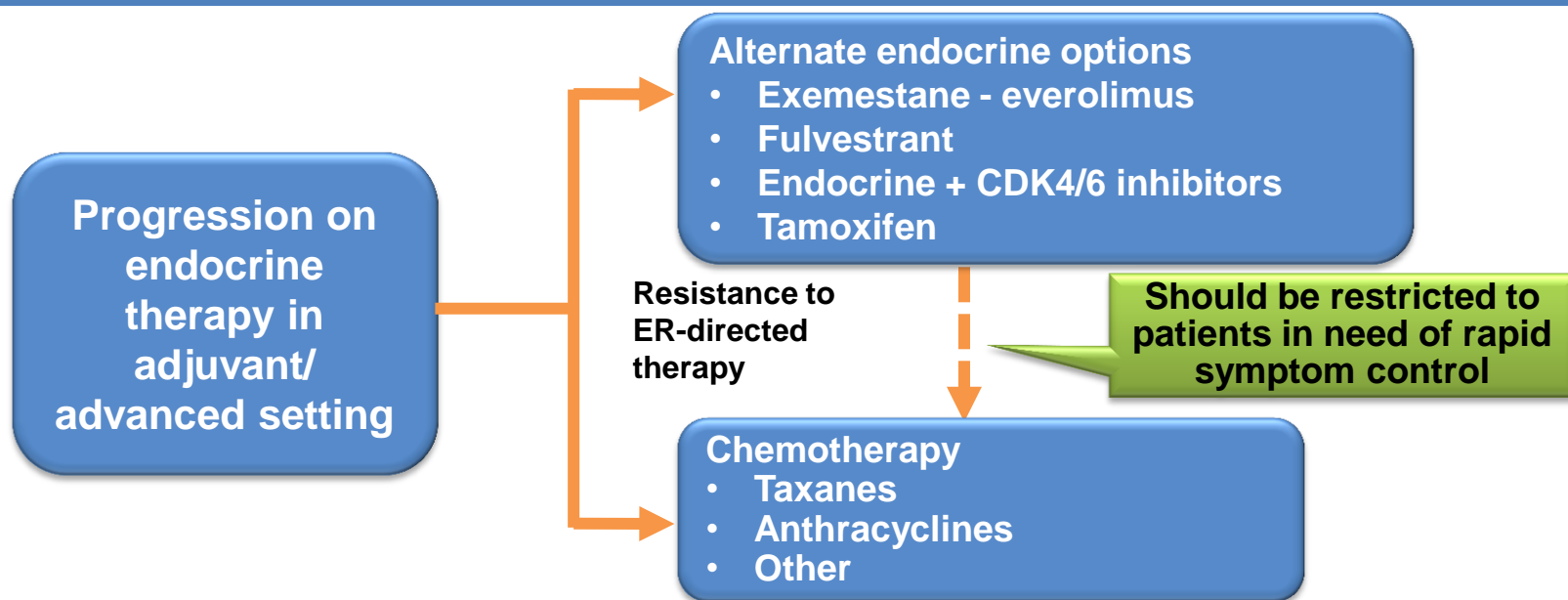
<sup>4</sup>Dana Farber Cancer Institute, Boston, MA; <sup>5</sup>Seragon Pharmaceuticals, a wholly owned subsidiary of Genentech, Inc., San Diego, CA

Waterfall plot showing FES-PET response rates across 6 different dose regimens





# Conclusions



NCCN <sup>1</sup>	ABC1 <sup>2</sup>
<p><b>Recommend 3 consecutive endocrine therapy regimens before switching to chemotherapy</b></p>	<p>No consensus following initial AI therapy; options include</p> <ul style="list-style-type: none"> <li>• Tamoxifen</li> <li>• Another AI</li> <li>• Fulvestrant</li> <li>• Megestrol acetate</li> </ul>

AIs, aromatase inhibitors; ER, estrogen receptor; HR, hormone receptor; NCCN, National Comprehensive Cancer Center. Guidelines refer to postmenopausal HR+ advanced breast cancer, and recommend endocrine therapy for patients who are not in visceral crisis.

1. NCCN Guidelines. Breast Cancer. Version 2.2012; 2. Cardoso F, et al. *Breast*. 2012;21:242-252.

# Endocrine-Resistant ER+/HER2- ABC: Treatment Decision Guided by Patient Scenario

